Diabetic retinopathy (DR) is the leading global cause of blindness in working individuals and one of the leading microvascular complications of diabetes. Clinically, nonproliferative DR (NPDR) involves progressive damage of the retinal vessels with capillary dropout. Microvascular changes include microaneurysms, hemorrhages, and intraretinal microvascular abnormalities (IRMAs), which are tortuous intraretinal vascular segments. Progressive capillary dropout leads to hypoxia, proceeded by proliferating networks of leaky neovessels that can expand from the inner retina into the vitreous cavity - the hallmark of proliferative DR (PDR).

Diabetic hyperglycemia is toxic to the retinal microvasculature, disrupting endothelial tight junctions leading to breakdown of the blood-retinal barrier (BRB). Inflammatory mediators (i.e., TNF-α, IL-1β, and IL-6) upregulate adhesion molecules and growth factors (i.e., intercellular adhesion molecule-1 [ICAM-1] and VEGF), which in turn promote leukocyte-endothelial adhesion (54,55), a critical step in DR pathogenesis. Diabetes likely impairs the neural retina concurrent with (or before) vascular defects, as declines in visual electrophysiology can be detected in diabetic patients and animal models even before any clinically detectable symptoms of DR.2,5 Chronic inflammation is likely a key factor in impairment of both the retinal microvasculature and the neural retina.1-6

Anti-VEGF therapies, such as ranibizumab and Afiblercept, arrest the pathologic angiogenesis of PDR, yet achieve temporary visual improvement in only a minority (23%–34%) of patients,7-10 and therapies targeting alternative pathways to reverse progressive neurovascular dysfunction are needed. Type 1 Diabetic Ins2Akita mice suffer hyperglycemia by 4 weeks of age and show degenerative retinal changes by 6 months, including acellular capillaries, pericyte loss, retinal thinning, visual dysfunction, and b-wave diminution.11-14 Although no rodent models faithfully recapitulate the vascular proliferation seen in human PDR, recent studies have reported an increase of
vascular density in the deep vascular plexus of aged diabetic db/db and Ins2\textsuperscript{Akita} mice,\textsuperscript{15–17} suggestive of the impaired vascular remodelling seen in “preproliferative” DR marked by IRMAs in human patients, a precursor of proliferative DR.\textsuperscript{18,19}

Work in our and other laboratories suggests that Angiopoietin1 therapy can halt nonproliferative structural and functional changes associated with DR in mice, such as retinal thinning, acellular capillaries, and optokinetic tracking dysfunction.\textsuperscript{13,20–21} The angiopoietins (Ang1 and Ang2) are secreted ligands that bind to the receptor tyrosine kinase Tie2 expressed on endothelial and hematopoietic cells. Whereas Ang2 is a context-dependent antagonist of Ang1/ Tie2 signaling, Ang1 activation of Tie2 induces vessel survival, quiescence, and maturation, and decreases inflammation and leakiness in response to permeability-inducing inflammatory agents.\textsuperscript{21,22} Our previous work has shown that sustained intravitreal expression of angiopoietin-1 combined with the short coiled-coil domain of cartilage oligomeric matrix protein (COMP-Ang1) by adeno-associated viral serotype 2 (AAV2.-COMP-Ang1) in 2-month old Ins2\textsuperscript{Akita} males significantly attenuated capillary loss, breakdown of the BRB, and subsequent leukostasis, as well as preventing retinal thinning and loss of visual acuity, all of which occur in untreated Ins2\textsuperscript{Akita} mice and DR patients.\textsuperscript{11–14,16,23,24}

However, as progression of DR generally is well underway before clinical diagnosis, preventive management and early treatment is not an option for many patients. We show that delaying AAV2.COM-Ang1 treatment well after DR onset was successful in preventing subsequent proliferative vascular retinopathy of the deep plexus in aged Ins2\textsuperscript{Akita} mice. Despite this effect, delayed AAV2.COM-Ang1 therapy did not attenuate progressive thinning of the peripheral retina, suggesting that additional nonvascular processes underlie susceptibility of neurons to chronic hyperglycemia, particularly once retinal thinning already is underway.

**METHODS**

**Animals**

The type 1 diabetic Ins2\textsuperscript{Akita} mouse on the C57BL/6J background was used to model DR. This animal harbors a mutation in the insulin gene that prevents proper insulin secretion. Heterozygous C57BL/6-In\textsuperscript{Akita}/J (Ins2\textsuperscript{Akita}) males and healthy C57BL/6J female mice were obtained from the Jackson Laboratory (Sacramento, CA, USA) and crossed in our pathogen-free animal facility. As only male Ins2\textsuperscript{Akita} mice consistently suffer diabetes, female pups were euthanized and male pups were genotyped at weaning (additional information provided in Supplementary Materials and Methods). Experiments were approved by the Institutional Animal Care and Use Committee of the University of Utah and conform to the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research.

Ins2\textsuperscript{Akita} mice were randomly assigned to an experimental treatment group: AAV2.COM-Ang1 (carrying a 3\textsuperscript{FLAG} tag for purification and immunodetection) or to one of three control groups: no injection, PBS, or AAV2.REPORTER (AcGFP or LacZ). All AAV2 constructs were driven by the CMV promoter. Wild type (WT) littermates received no ocular treatment (additional information provided in Supplementary Materials and Methods). High mortality of the aging Ins2\textsuperscript{Akita} population precluded our ability to use equivalent numbers of each group for all assays. Six-month old Ins2\textsuperscript{Akita} mice were treated with either 1 µL solution containing AAV2.COM-Ang1, AAV2.REPORTER (2.0 × 10\textsuperscript{9} viral genomes [VG]) or PBS injected into the vitreous cavity of both eyes.

**In Vivo Imaging**

In vivo retinal cross-sectional thickness was imaged bilaterally with optical coherence tomography (OCT; Spectralis HRA+OCT, Heidelberg Engineering, Heidelberg, Germany). Baseline thickness was measured in 6-month-old male Ins2\textsuperscript{Akita} mice before intravitreal injections, in 10.5-month-old (±2 weeks) Ins2\textsuperscript{Akita} and in age-matched WT littermates at both time points. OCT images were manually segmented and total retinal thickness was measured between the retinal nerve fiber layer (RNFL) and the basement membrane (BM) by an observer blinded to the treatment type. Four measurements corresponding to each of the retinal quadrants were averaged across both eyes at radial distances 0.5, 1.5, 2.5, 3.5, and 4.5 mm from the optic nerve head. Expression of the AAV2.AcGFP control vector in Ins2\textsuperscript{Akita} mice was assessed in vivo using the FA imaging modality of the Heidelberg Spectralis (additional information provided in Supplementary Materials and Methods).

**Immunofluorescent Labeling of Retinal Flat-Mounts**

All procedures performed ex vivo were completed on retinas harvested at the experimental endpoint of 10.5 months (±2 weeks of age). See Supplementary Material and Methods for a detailed description of flat mount immunostaining and preparation.

**Imaging and Image Processing**

**Vascular Quantification (Low Resolution), X10 Objective.** Equivalent fluorescence parameters were set using an EVO FS. imaging system to acquire 10 stitched images of whole flat mounts labeled with fluorescent GS-B4. Tiff images were inverted and transformed to grayscale in Photoshop (Adobe, San Jose, CA, USA) and imported into ImageJ (National Institutes of Health [NIH], Bethesda, MD, USA) for processing. In ImageJ, retinas were first outlined with the polygon tool, and the thresholding tool was used to convert all pixels to binary states by setting minimum and maximum thresholds = 230 (>230 = black, <230 = white). The total number of black and white pixels enclosed by the polygon was calculated and vessel density was calculated as #black/total pixels.

**Deep Vascular Quantification (High Resolution), X40 Objective.** Efforts were taken to minimize sampling bias of the deep plexus as follows: Peripheral fields were manually selected using a Zeiss LSM 800 (X40 objective; Carl Zeiss Meditec, Jena, Germany) while focusing only within the superficial microvascular plane. After selecting each field, the focal plane was reset to the deep vascular plexus for imaging, and Z-stacks encompassing this layer were captured at 1 µm intervals. Supplementary Figure S1a shows that 1 µm interval distances are sufficient to image the deep vasculature while preventing confounding fluorescence from adjacent GS-B4 fluorescent vascular beds. Eight nonoverlapping fields within the retinal periphery (approximately 2.5 objective distances from the optic nerve) were selected across the four retinal quadrants. Images within each Z-stack were merged using ZEN lite (blue edition; Carl Zeiss Meditec) and the orthogonal projections were saved as tiff files for importing into Photoshop. A treatment-blind observer then manually masked extravascular cell types (infiltrating leukocytes, microglia, and macrophages) to eliminate this potential source of confounding GS-B4 positive signal. Cleaned images subsequently were processed through AngioTool25 (Supplementary Fig. S1B; available in the public domain at https://ccrod.cancer.gov/confluence/display/ROB2/Home), with equivalent parameter settings (intensity and...
vessel diameter range) across the entire dataset. The eight values representing eight separate peripheral retinal fields were averaged for each eye. Reported statistics are for: total vessels length, lacunarity, an index describing the distribution of the sizes of gaps, or "gappiness" within the image), number of vessel junctions, and vessels percentage area.

Optokinetic Tracking (OKT) for Visual Acuity
Optomotor reflex-based spatial frequency threshold tests were conducted on awake, unrestrained mice by a treatment-naive operator using a visuomotor behavior measuring system (OptoMotry; CerebralMechanics, Lethbridge, AB, Canada). Presence or absence of the stimulus tracking response was established through repeated trials of varying direction and spatial frequency of the stimulus. Spatial frequency of the stimulus was stepped up or down with the staircase method to find the behavioral threshold, corresponding to the visual acuity for the behavior. Rotation speed (12°/s) and contrast (100%) were kept constant. WT and Ins2Akita mice underwent OKT at the 10.5-month endpoint.

Statistical Analysis
Numerical data were calculated in Excel (Microsoft, Redmond, WA, USA) and are presented as the mean ± SEM. The Student’s

2-tailed t-test with a significance level of 0.05 was used to compare differences between two groups. For analysis of multiple groups, statistical analyses were performed with Past3 software. Significant differences were first tested by ANOVA for \( P < 0.05 \), followed by Tukey’s honest significant difference (HSD) test for pairwise comparisons of groups with unequal sample sizes.

RESULTS
Mortality is Increased 7.8-Fold in Diabetic Mice
As expected, 6-month-old Ins2Akita males showed significantly elevated fasting blood glucose levels (Fig. 1A) and decreased body weights (Fig. 1B) relative to WT littermates (Glucose: WT, 149.8 ± 19.8 mg/dL; Ins2Akita, 475.6 ± 52.4 mg/dL; Body Weight: WT, 35.4 ± 4.25 g; Ins2Akita, 25.3 ± 2.1 g). Overall mortality rates increased sharply relative to WT littermates at approximately 6 months of age (Fig. 1C). Total mortality by the 10.5-month endpoint was 42.2% in Ins2 Akita mice, but only 5.4% in WT mice, a 7.8-fold increase. Due to the increased fragility of aged Ins2Akita mice, we avoided procedures requiring extended use of anesthesia on these animals.

Diabetic Retinas Maintain Long-Term Viral Expression
Intraocular gene therapy was sustained for the duration of the experiments. Ex vivo images of AAV2.AcGFP injected retinal flat mounts show naked AcGFP expression consistent with in vivo imaging (Fig. 2). In vivo and ex vivo images showed fluorescent localization varying across retinal quadrants with the highest fluorescence close to the injection site. Expression of intravitreally injected AAV2.COMP-Ang1 was visualized in eight specimens by indirect immunofluorescent localization of
the incorporated FLAG tag. No control retina exhibited FLAG-specific fluorescent signal (Fig. 2). Considerable variability in FLAG-immunofluorescent cell counts was found among AAV2- COMP-Ang1–treated eyes, presumably due to variability in manual injections, and average number of COMP-Ang1–expressing cells varied over 5-fold among the eight FLAG-labeled retina. As COMP-Ang1 (and FLAG) is secreted extracellularly, this signal was lower than naked AcGFP signal in the AAV2.AcGFP injected retinas. Nevertheless, these data demonstrated that our AAV2 reagents can be targeted to (and sustained in) retinas following onset of DR for at least 5 months.

**AAV2.COMP-Ang1 Prevents Proliferation of the Deep Vascular Network**

We first attempted to assess vascularity at the experimental endpoint by measuring the total vascular signal in stitched ×10 objective images of GS-IB4-alexa488 labeled retinal flat mounts. Surprisingly, AAV2-treated diabetic retinas appeared more strongly labeled than those of WT and PBS-treated Ins2 Akita mice. Specifically, AAV2 expression vectors appeared to be associated with increased numbers of GS-IB4-alexa488 labeled monocytes/macrophages mostly confined to the superficial vascular plexus (Fig. 3A). Using the pan-macrophage/monocyte lineage marker Iba1, we found that approximately 85% of these extravascular cells labeled with GS-IB4 and Iba1 in Ins2Akita retinas previously treated with intravitreal AAV2.LacZ or AAV2.COMP-Ang1 (Supplementary Fig. S2 and data not shown). Increased fluorescence of AAV2-treated diabetic retinas was confirmed by quantification of vascular signal across groups (Fig. 3B), indicating that the presence of GS-IB4–labeled extravascular cell types can introduce unacceptable bias to vascular quantification.

To obtain a more objective measure of retinal vascularity than the above low-resolution protocol, we imaged new cohorts of endpoint retinas that were labeled with GS-IB4-alexa647. Eight high-resolution ×40 confocal Z-stacks of the deep vascular plexus were captured approximately 2.5 objective diameters peripheral to the optic nerve and processed through AngioTool as per previous reports. In contrast to the progressive capillary loss reported in studies (including ours) of younger Ins2 Akita mice, retinas of the 10.5-month-old control Ins2 Akita mice demonstrated significant increases in total length and area of microvessels, number of

**FIGURE 3.** Isoleciton B4 flat mounts of 10.5-month-old retinas. (A) GS-IB4-FITC lectin-labeled retinal flat mounts. Clusters of nonvascular cell types labeled by lectin are enclosed by ovals. (B) Retinas injected with AAV2 expression vectors had significantly higher intensity scores than WT or PBS-treated Ins2Akita retinas. \*\*\*P < 0.001. **P < 0.01. \*P < 0.05.
vessel junctions, and a decrease in lacunarity compared to untreated WT retinas (Fig. 4). Whereas all diabetic control groups showed an expansion of vessels in the deep vascular plexus, AAV2.Comp-Ang1–treated Ins2Akita retinas were comparable to WT retinas for all vascular measures, while differing significantly from Ins2Akita control groups, suggesting that Comp-Ang1 attenuated the diabetes-induced expansion of the deep vascular network.

Studies of diabetic rodents and humans show that ERK1/2 is activated in the diabetic retina, and may have a key role in glucose-mediated inflammatory induction. Studies of diabetic rodents and humans show that ERK1/2 is activated in the diabetic retina, and may have a key role in glucose-mediated inflammatory induction. Studies of diabetic rodents and humans show that ERK1/2 is activated in the diabetic retina, and may have a key role in glucose-mediated inflammatory induction.30–33 Quantification of Western blot band intensities indicated that pERK1/2 protein levels of AAV2.COMP-Ang1–treated diabetic retinas were 41% lower than control diabetic retinas (Fig. 5A). However, this trend was not significant when comparing group means of WT, COMP-Ang1 Ins2Akita, and control Ins2Akita retinas (ANOVA, $P < 0.05$, Fig. 5B).

AAV2.COMP-Ang1 Halts Diabetic Retinal Neovessels

Baseline and endpoint retinal thickness were measured and averaged across the four retinal quadrants at 1.5 mm incremental radial distances peripheral to the optic nerve head. Baseline retinal thickness of Ins2Akita retinas was significantly thinner than WT age-matched littermate retinas at all radial distances, and retinas of both genotypes continued to thin with age (Fig. 6A). However, AAV2-treated mice had expanded central retinas, tapering gradually toward the periphery, whereas thickness of WT and PBS-treated retinas was greatest at the 2.5 mm distance, tapering toward central retina and periphery (Figs. 6B–D). Despite the increased central retinal thickness, AAV2.COMP-Ang1 did not provide any protection from peripheral retinal thinning.

Peripheral retinal ganglion cell (RGC) counts (Supplementary Fig. S3) of WT, AAV2.COMP-Ang1– and PBS-injected eyes were significantly higher than peripheral RGC counts of AAV2.REPORTER-injected retinas (ANOVA, $P < 0.05$, Fig. 7A), suggesting potential neurotoxicity of chronic exogenous
AAV2.COMP-Ang1 reporter protein to this cell type in diabetic mice. Surprisingly, RGC counts of WT, PBS-injected, and AAV2.COMP-Ang1-injected retinas were not significantly different from each other, although we observed the trend: WT > AAV2.COMP-Ang1 > PBS.

Visual deficits arise early in DR patients and animal models, which can be measured as a decline in visual tracking behavior. We previously showed that Ins2Akita mice treated with AAV2.COMP-Ang1 before DR symptoms were capable of resisting deterioration of optokinetic visual function. However, consistent with the lack of rescue in retinal thickness, delaying AAV2.COMP-Ang1 treatment until 6 months failed to reverse or prevent further declines in visual acuity (Fig. 7B). Average right plus left eye acuity scores of all Ins2Akita groups were significantly below those of WT mice (P < 0.05), but did not differ from each other.

**DISCUSSION**

This study highlights important functional differences in Ang1/Tie2 signaling in the diabetic retina associated with disease progression and age. Studying proliferative DR remains a challenge. The rodent oxygen-induced retinopathy models exhibit rampant retinal neovascularization and are better correlates of retinopathy of prematurity, whereas the diabetic Akimba mouse has significant retinal cell loss. Neither the chronic models of Ins2Akita nor db/db have the retinal

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**FIGURE 5.** AAV2.COMP-Ang1 treatment reduced levels of phosphorylated ERK1/2 in the absence of Tie2 upregulation. (A) Protein levels of phosphorylated ERK1/2 in AAV2.COMP-Ang1 (CA1) retinas were 41% lower than diabetic control retinas, although this trend was not significant. (NI, no injection). (B) Retinal Tie2 receptor levels (C-terminal Tie2) of all Ins2Akita groups were not significantly different from each other, but showed a trend of 20% less receptor protein than WT retinas.

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**FIGURE 6.** Retinal thinning was not prevented or improved after delayed COMP-Ang1 treatment. (A) Considerable retinal thinning occurred between baseline (6 months) and the experimental endpoint (10.5 months) in WT and Ins2Akita mice. However, retinal thickness of Ins2Akita mice was below that of age-matched WT littermates at all radial distances from the optic nerve head. (B) Representative images of HRA (left) and OCT images of all four study groups at the 10.5-month endpoint. The dashed blue line is at approximately 0.5 radial distance from the optic nerve head (solid green line). (C) Average retinal thickness at increasing radial distances from the optic nerve head is represented by a colored line for each group. Note that central retinas of the two AAV2-treated groups are considerably thicker than that of the PBS-injected group, whereas peripheral retinas of all Ins2Akita groups are similar. (D) Same retinal thickness data (and color code) as in (C) but represented as bar graphs with pairwise comparisons performed at each radial distance that showed a significant difference among the group means. AAV2.COMP-Ang1 (AAV2.CA1) treatment did not prevent significant peripheral retinal thinning (*P < 0.05; **P < 0.01).
neovascularization seen in human patients. However, our confirmation that deep vascular proliferation occurs in diabetic mice provides the opportunity to explore therapies for normalizing proliferative remodeling in the adult diabetic retina.\textsuperscript{13-17} DR often is well advanced in patients before diagnosis. Therefore, it is critical to determine how therapeutic outcome varies with treatment initiated at later stages of disease. Novel treatments tested in rodent models are generally delivered before disease onset and studies terminate at or near 6 months of age before a sharp increase in diabetes-associated mortality occurs. Our study confirmed that beyond 6 months, the deep capillary plexus of Ins2\textsuperscript{Akita} mice undergoes extensive proliferative remodeling at the retinal periphery. We suggested that this remodeling may reflect IRMAs found in human patients. IRMAs are proliferations that occur deeper in the retina than neovascularization and are likely a precursor to preretinal neovascularization.\textsuperscript{18,19} We demonstrated an antiangiogenic effect of AAV2.COMP-Ang1 in Ins2\textsuperscript{Akita} retinas when delivery is initiated well after the establishment of diabetes, subsequent to pericyte dropout, capillary loss, and the onset of retinal thinning. Whereas early intervention with AAV2.COMP-Ang1 (at 2 months) halted the progression of vascular and neuronal impairment,\textsuperscript{13} treatment at 6 months encountered an established inflammatory milieu associated with increased central retinal thickness suggestive of edema, we suspected that our AAV2 dosage (2 × 10\textsuperscript{10} VG) is at the high end for retinas with established DR. Indeed, a previous report determined that diabetes enhances the retinal transduction and efficacy of AAV2 vectors,\textsuperscript{40} suggesting that viral dose should be optimized at different time points following disease onset.

Using OCT angiography (OCTA), we recently confirmed that 6-month old Ins2\textsuperscript{Akita} mice show significantly reduced perfusion, particularly in the deep vascular plexus.\textsuperscript{29} Even temporary lack of motion contrast (blood flow) is undetectable by OCTA, and transient occlusive events are significantly more frequent in the deep capillary bed of Ins2\textsuperscript{Akita} compared to WT mice.\textsuperscript{41} Deep retinal ischemia resulting from diminished capillary perfusion at 6 months could explain why we found significant vascular expansion at the 10.5-month endpoint, since proliferative remodeling is the vascular response to...
restore blood flow. Our future plans include longitudinal studies to further examine the association between hyperglycemia, retinal blood flow, and Tie2 modulation in the aging diabetic animal.

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