Aberrant ZNF423 impedes B cell differentiation and is linked to adverse outcome of ETV6-RUNX1 negative B precursor acute lymphoblastic leukemia

Lena Harder,1 Georg Eschenburg,1 Antonia Zech,1 Neele Kriebitzsch,1,7 Benjamin Otto,2,3 Thomas Streichert,2 Anna-Sophie Behlich,1 Kevin Dierck,1 Bine Klingler,1 Arne Hansen,4 Martin Stanulla,5 Martin Zimmermann,3 Elisabeth Kremmer,6 Carol Stocking,7 and Martin A. Horstmann1

1Research Institute Children’s Cancer Center and Clinic of Pediatric Hematology and Oncology, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany
2Institute of Clinical Chemistry, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany
3Department of Internal Medicine, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany
4Department of Experimental Pharmacology and Toxicology, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany
5Department of Pediatric Hematology and Oncology, Hannover Medical School, 30625 Hannover, Germany
6Institute of Molecular Immunology, Helmholtz Center Munich, 81377 Munich, Germany
7Heinrich-Pette-Institute, Leibniz-Institute for Experimental Virology, 20251 Hamburg, Germany

Differentiation arrest is a hallmark of acute leukemia. Genomic alterations in B cell differentiation factors such as PAX5, IKZF1, and EBF-1 have been identified in more than half of all cases of childhood B precursor acute lymphoblastic leukemia (ALL). Here, we describe a perturbed epigenetic and transcriptional regulation of ZNF423 in ALL as a novel mechanism interfering with B cell differentiation. Hypomethylation of ZNF423 regulatory sequences and BMP2 signaling result in transactivation of ZNF423α and a novel ZNF423β-isofor m encoding a nucleosome remodeling and histone deacetylase complex–interacting domain. Aberrant ZNF423 inhibits the transactivation of EBF-1 target genes and leads to B cell maturation arrest in vivo. Importantly, ZNF423 expression is associated with poor outcome of ETV6–RUNX1–negative B precursor ALL patients. Our work demonstrates that ALL is more than a genetic disease and that epigenetics may uncover novel mechanisms of disease with prognostic implications.

The acute lymphoblastic leukemia (ALL) is the most common malignancy of childhood. The underlying disease mechanisms leading to overt leukemia are still incompletely understood, including the maturation arrest as a hallmark of the leukemic phenotype. Various structural and numerical chromosomal aberrations have been identified, some of which are being used in risk stratification, such as ETV6–RUNX1 or BCR-ABL1 rearrangements of the mixed-lineage leukemia (MLL) gene, hypodiploidy, and hyperdiploidy (Pui et al., 2004; Mullighan et al., 2007, 2009; Zhang et al., 2011). These chromosomal aberrations are considered to be the primary event in disease development, followed by a broad range of secondary mutational events. Among those aberrations, deficiencies in transcriptional regulators of B lymphopoiesis (PAX5, EBF-1, and IKZF1) have been identified that cause a differentiation arrest in lymphopoietic progenitors (Pui et al., 2004; Mullighan et al., 2007, 2009; Zhang et al., 2011). The most frequently affected factor in lymphoid development is PAX5, which is involved in 32% of B cell precursor ALL, but whose mutation status is not linked to disease outcome (Mullighan et al., 2007). Genetic alterations of IKZF1 are less frequent, but correlate with poor outcome and...
Figure 1. The BMP2–SMAD–ZNF423 axis in ALL. (A) Intraindividual transcriptome analysis. Heatmap of differentially expressed B lymphoid development–related genes in B precursor ALL versus individually matched normal B progenitor cells (n = 4 matched pairs). ID was given for each gene representative probe set. ZNF423 probe hybridizes to the 3′UTR of the gene. Color code represents Signal Log Ratio (SLR) with ceiling at max −4/+4; * indicates ceiled values. I1s-I3s, initial FACS-sorted leukemia sample; E1-4s, FACS-sorted B progenitor cells at the end of reinduction in complete remission; I4, unsorted initial leukemia sample (>90% blasts). (B–E) qPCR-based quantification of ZNF423 (B), BMP2 (C), SMAD1 (D), and ZNF521 (E) mRNA levels at various stages of hematopoietic development (left) and in primary B cell ALL (n = 200), ESC lines (H1, HES2), and normal hematopoietic cells (right). Values were normalized to B2-microglobulin (B2M) (2^−ΔΔCt×1000). Error bars represent standard deviation (SD) of technical triplicates. Cutoff is defined as double SD of highest value in the normal compartment. (F) Expression of endogenous ZNF423 protein in primary ALL and ALL cell lines. As a control, 293T cells were transfected with Flag-ZNF423α. ZNF423 was immunoprecipitated (IP) using the ZNF423 monoclonal antibody, IgG2A was used as
are associated with an increased expression of hematopoietic stem cell (HSC) genes and reduced expression of B lymphopoietic genes. Early B cell factor 1 (EBF-1), which controls essential components of the pre-B cell receptor (preBCR), is a target for monoallelic deletion in 4% of B precursor ALL (Mullighan et al., 2007, 2009). Disruption of the preBCR leads to a maturation arrest at the pre-B cell stage (Kitamura et al., 1992; Mundt et al., 2001). Overall, genome-wide analyses have identified genetic alterations of transcriptional regulators of lymphoid development in ~60% of B-ALL patients (Mullighan et al., 2007, 2009). The cause of the differentiation arrest in the remaining cases of B precursor ALL have not been ascribed to defined genetic aberrations in transcriptional regulators of B lymphopoiesis. This suggests additional trans-regulatory and/or epigenetic mechanisms that may interfere with the B lymphopoietic transcriptional program.

Transcriptional activity is critically regulated by DNA methylation and histone modifications acting in concert to regulate gene expression (Vassilieva et al., 2008). Cancer cells show global DNA hypomethylation with concomitant abnormal methylation of cytosines preceding guanosine residues in so-called CpG islands (CGIs) in gene regulatory regions (Feinberg and Tycko, 2004). DNA methylation studies of childhood ALL revealed that genetic subtypes are associated with distinct methylome profiles and that regulatory regions of B cell differentiation genes are prone to aberrant methylation (Davidson et al., 2009). Transcriptional and epigenetic deregulation is also conferred by oncogenic fusion proteins such as ETV6-RUNX1 in ALL, which recruit co-repressor complexes containing histone deacetylases (HDAC; Zelent et al., 2004).

Murine Zfp423 is a multifunctional Krüppel-like C2H2 zinc finger factor that plays an essential role in cerebellar development, olfactory neurogenesis, and midline patterning of the central nervous system (Tsai and Reed, 1997; Hata et al., 2000; Warming et al., 2004; Cheng et al., 2007). It has been implicated as a binding partner and potent inhibitor of EBF-1 (Olf-1) that not only critically determines B cell lymphopoiesis but also olfactory neurogenesis. However, Zfp423 has not been observed in normal hematopoietic cells (Tsai and Reed, 1997, 1998). Its human homologue ZNF423 directs bone morphogenetic protein (BMP)–dependent signaling activity in a ternary SMAD1–SMAD4 transcription factor complex, whose transactivation is partially inhibited by EBF-1 overexpression, likely due to ZNF423-EBF-1 heterodimerization (Hata et al., 2000). Although there is in vitro data on the functional interaction between ZNF423 and EBF-1, there is no formal proof of its relevance to lymphopoiesis in vivo, and even less of its relevance to the pathobiology of ALL.

Here, we identify ZNF423 as a target for epigenetic deregulation and BMP2-dependent pathways in ALL of childhood. Aberrant ZNF423 inhibits EBF-1 target genes, leads to a B cell maturation arrest in vivo and is associated with poor outcome of ETV6-RUNX1–negative ALL.

RESULTS

Aberrant expression of the transcriptional modulator ZNF423 in ALL

Transcriptional deregulation of essential B cell differentiation factors or their targets may critically contribute to the differentiation arrest in ALL. To address this question, comparative intraindividual transcriptome profiling of FACS-sorted leukemic versus immunologically matched normal lymphoblasts isolated from the same individual in complete remission was used to identify differentially regulated genes (Fig. 1 A). Here, we focused on a set of genes that are involved in the process of B cell differentiation (Mullighan et al., 2007). Given the genetic heterogeneity of progenitor B-ALL, a variable expression of most of the B cell differentiation–related genes was observed as anticipated, apart from a uniform down-regulation of IRF4 and CD79b on the one hand and an up-regulation of ZNF423 on the other. ZNF423 is not constitutively expressed during lymphopoiesis but is able to sequester the EBF-1 (Olf-1) transcription factor with previously described implications for olfactory neuronal differentiation (Tsai and Reed, 1997). We reasoned that a transcriptional modulator that is capable of binding EBF-1 might contribute to the B cell differentiation block, and thus we set out to define the underlying mechanism of its aberrant expression in leukemic lymphoblasts. Because ZNF423 has been described as a binding partner of the SMAD1–SMAD4 complex, we first addressed the activity of BMP-dependent signaling pathways in ALL. The expression of BMP2, SMAD1, and ZNF423 was assessed at the transcriptional level in primary B precursor ALL samples (n = 200) using quantitative real-time PCR (qPCR; Table S1). The majority of leukemic samples exhibited a substantially increased expression of BMP2 and ZNF423 transcripts in comparison with normal cells purified by FACS sorting from the healthy lymphopoietic compartment at various stages of differentiation (Fig. 1, A and C). Increased expression of SMAD1 occurred less frequently (Fig. 1 D). ZNF423 and SMAD1 transcripts showed a moderate correlation (r = 0.32793; P < 10−6). However, both factors revealed a strong association with ETV6-RUNX1–rearranged ALLs (Table 1 and 2). Aberrant expression of ZNF423 was maintained in relapsed ALL of initially positive samples (unpublished data). Interestingly, human embryonic stem cell lines H1 and HES2 revealed similarly high BMP2 and SMAD1 transcript levels as primary ALL cells and an extraordinarily high expression of ZNF423 supporting its functional role in early human development. In contrast, the ZNF423 homologue ZNF521 (Evi3,
Table 1. Relationship between expression of ZNF423, BMP2, and SMAD1 in B-ALL

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<th>ZNF423</th>
<th>BMP2</th>
<th>SMAD1</th>
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<td>SMAD1</td>
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<td>1.0000</td>
</tr>
<tr>
<td></td>
<td>P &lt; 0.0001</td>
<td>P = 0.0005</td>
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Spearman correlation between ZNF423, BMP2, and SMAD1 expression in n = 190 B-ALL.

EHZF) that has been implicated in hematopoiesis and myeloid malignancies (Bond et al., 2004) was aberrantly expressed in only 1 out of 115 tested ALL samples (Fig. 1 E). ZNF521 exhibited a strong expression in CD133-expressing fetal liver, as well as in umbilical cord blood stem and progenitor cells followed by a rapid decline during B cell differentiation.

Next, we addressed the components of the BMP2-dependent pathway at the protein level. Using immunoprecipitation, endogenous ZNF423 protein was detected in primary ALLs and ALL cell lines that expressed ZNF423 transcripts (Fig. 1 F). Increased plasma concentrations of secreted soluble BMP2 were detected in the BM or peripheral blood of ALL patients as determined by enzyme-linked immunosorbent-assay (ELISA; Fig. 1 G). At the basal signaling state BMP2-dependent phosphorylation of SMAD1/SMAD5 was detected in one of four tested SMAD1-expressing primary ALL samples that revealed increased concentrations of plasma BMP2. This ALL lacked a constitutively active mutation of SMAD1 and might indicate active BMP2 signaling in vivo. The usually transient nature of BMP-dependent phosphorylation upon sample processing could account for the nondetectable SMAD1/SMAD5 phosphoactivity in the remaining ALL samples (Fig. 1 H and not depicted).

Genomic profiling of ZNF423 and B cell differentiation factors in ALL

To evaluate the mechanistic basis of aberrant ZNF423 activation, we screened the genomic locus of ZNF423 in 20 individually matched pairs of primary ALL with detectable ZNF423 transcripts and corresponding normal mononuclear cells (MNCs) extracted from BM samples using high-resolution SNP array profiling. This approach revealed two cases of copy number variation at the ZNF423 locus in ALL samples (Fig. 2 A). A hyperdiploid ZNF423-positive B precursor ALL with trisomy 5, 11, and 16 is associated with genomic gains, including the ZNF423 locus on chromosome 16 and multiple loci of B cell differentiation-associated genes, including CSF1R, EBF-1, IL7R, RAG1, and CD19. In contrast, a near diploid ALL revealed a loss of one ZNF423 gene copy, which nevertheless showed an elevated level of ZNF423 transcripts, suggesting a perturbed transcriptional regulation as the underlying cause of the aberrant expression. Loss of heterozygosity of known regulators of B cell differentiation or their target genes were identified in 10 out of 20 cases, as shown in Fig. 2 A. In accordance with previous works, PAX5 was identified as the most frequently affected gene among B cell differentiation factors followed by Ikaros (IKZF1) and EBF-1 (Mullighan et al., 2007). Aberrant ZNF423 expression and copy number variations of defined B cell differentiation factors occurred neither in a mutually exclusive nor strictly coincident manner, indicating independent molecular events.

ZNF423 is a transcriptional target of BMP2 signaling in ALL

Because of the mostly intact genomic structure at the ZNF423 locus, we set out to search for potential transregulatory mechanisms as a cause for aberrant expression of ZNF423 in ALL. MLL/AF4–rearranged SEM cells were chosen from a panel of ALL cell lines as a cellular model to test the hypothesis that ZNF423 not only acts as a binding partner and transcriptional modulator of the phosphorylated SMAD1–SMAD4 complex but is also transcriptionally regulated along BMP2-induced pathways. BMP2 treatment caused a marked increase in phosphorylation of SMAD1/SMAD5 and an up-regulation of ZNF423 transcripts reaching a maximum induction after 5 h, which is similar to the direct BMP2 target gene RUNX2 (Lee et al., 2000; Fig. 2 B and C). The transcriptional induction of ZNF423 (as well as RUNX2) was also demonstrated for the BMP2 homologue BMP4, whereas BMP7, as a family

Table 2. Expression of ZNF423, BMP2, and SMAD1 transcripts in dependence on ETV6-RUNX1 status

<table>
<thead>
<tr>
<th></th>
<th>ETV6-RUNX1 negative</th>
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<tr>
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<td></td>
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<td>SD</td>
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<td>18.040</td>
<td>12.893</td>
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</tr>
<tr>
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<tr>
<td>SD</td>
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<td>57.352</td>
<td>65.491</td>
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</tr>
<tr>
<td>SMAD1</td>
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<td>SD</td>
<td>12.881</td>
<td>75.978</td>
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Distribution analysis of ZNF423, BMP2, and SMAD1 in ETV6-RUNX1-positive or -negative samples by t test. SD, standard deviation; p, P value.
A novel ZNF423 isoform carrying a nucleosome remodeling and histone deacetylase complex (NuRD)–interacting domain (NID) is expressed in ALL

Based on expressed sequence tags, a ZNF423β isoform has been postulated that carries a NID at the N terminus (SRRKQAKPRSVK), corresponding to the murine Zfp423 (Gronemeyer and Zelent, 2009; Lin et al., 2004; Lauberth and Rauchman, 2006). Using 5′-RACE and an isoform-specific PCR, we detected the novel β-isoform in ALL cells (Fig. 3, A, B, and D). It is likely transcribed from a distinct β-promoter that exhibits features of a CGI, whereas ZNF423α is regulated by a proximally situated α-promoter, as illustrated in Fig. 3A. The relative quantification of ZNF423α (NM_015069) and ZNF423β transcripts by qPCR revealed abundant expression of both isoforms in childhood ALL compared with normal lymphopoietic progenitor and HSCs (Fig. 3, C and D). ZNF423β may exert a stronger repressive function in transcriptional regulation compared with the α-isoform by recruitment of NuRD complexes. In embryonic stem cells, ZNF423β was by far the most predominant isoform.

**ZNF423 expression is regulated by DNA methylation**

The upstream regulatory region at the ZNF423 gene locus contains three CGIs, two of which are localized upstream of the first exon of the β-isoform. A third one takes a central position in between both ZNF423 promoters (CGI in Fig. 3 A). We reasoned that the central CGI might act as an enhancer on potentially both ZNF423 isoforms. Its functional relevance is corroborated by a high degree of conservation between various mammalian species (>80% homology), as demonstrated by sequence alignment (unpublished data). To assess the transactivation potential of the central CGI, we cloned 2 kb of the ZNF423α- and β-promoters alone and in conjunction with the central CGI into the CpG-free luciferase vector system pCpGl (Klug and Rehli, 2006). The basal activity of both promoters was significantly enhanced when combined with the central CGI (Fig. 4 A).

Next, we assessed the methylation status of the central CGI at the ZNF423 gene locus by bisulfite sequencing in...
of all four indicated CGI sites reduced ZNF423 promoter activity in the nonmethylated state. As anticipated, M.SssI-dependent methylation of all CpGs in the wild-type sequence led to a nearly complete loss of transcriptional activity. Mutagenesis of individual CpG dinucleotides in the CGI rescued promoter activity under M.SssI treatment, depending on the mutation site (Fig. 4, C and D). In contrast, the combined mutation of significantly differentially methylated CpGs had a lesser effect, exhibiting a greater sensitivity toward M.SssI treatment compared with single mutants. This observation may be at least partially explained by a disruption of critical trans-activating sites discernible by a reduction of basal luciferase reporter activity. Hence, the activity of ZNF423 regulatory sequences is not only dependent on the quantitative degree of methylation but also on its site-specific pattern.

In a reciprocal experimental approach, SEM cells were treated with the demethylating agent 5′-aza-2-deoxycytidine (5A2D). Using an isoform-specific qPCR, both ZNF423 isoforms were markedly transactivated in a similar manner during the first 48 h of treatment. This effect was further augmented by BMP2 stimulation. After 72 h of 5A2D treatment, a decreased and differential transactivation of ZNF423α and ZNF423β was observed, but both isoforms maintained their BMP2 responsiveness (Fig. 4 E). As an internal positive control, we confirmed the transcriptional induction of MAGE-1, which

Figure 3. Identification of a novel ZNF423 isoform carrying a NID in ALL. (A) Structure of the ZNF423 locus. Red boxes, exons; striped blue boxes, regulatory region (promoter or CGI); arrows, position and orientation of primer oligonucleotides for PCR amplification of ZNF423 isoforms. Scheme is not drawn to scale. Adapted from UCSC genome browser. (B) PCR products of isoform-specific PCR with cDNA from REH cells. PCR products were separated by agarose gel electrophoresis and visualized by ethidium bromide staining. (C and D) Relative quantification of mRNA levels by qPCR using ZNF423 isoform-specific primers. ZNF423α and ZNF423β were normalized to B2M (2^−ΔΔCt) × 1000. Error bars represent SD of technical triplicates.
Figure 4. **ZNF423 expression is regulated by DNA methylation.** (A) Transactivation of ZNF423 isoform-specific promoters in dependence of central CGI. Reporter gene assay using ZNF423 promoters (α and β) with or without CGI after transfection in 293T cells. Firefly luciferase activity was normalized to Renilla luciferase activity. Error bars represent SD from three technical replicates. Significance is calculated by Student’s t test, comparing indicated samples (***, P ≤ 0.001). Data were reproduced in three independent experiments. (B) Methylation map of CpGs in the central CGI at the ZNF423 locus. Genomic DNA from primary ALL (n = 58), matched BM MNCs in complete continuous remission (MNC [CCR]; n = 58), H1, HES2 ESC lines, and normal...
was previously demonstrated to be transactivated upon 5A2D-mediated DNA demethylation (unpublished data; Ehrlich, 2002). The transcriptional up-regulation of ZNF423 upon 5A2D treatment was recapitulated in CD34+ hematopoietic stem and progenitor cells, further emphasizing the relevance of DNA demethylation to the aberrant expression of ZNF423 in transformed lymphoblastic cells (Fig. 4 F).

ZNF423 inhibits the transactivation of EBF-1 target genes in hematopoietic cells

Previous data suggested that protein–protein interaction between ZNF423 and EBF-1 inhibits the transcriptional transactivation of EBF-1 target genes (Tsai and Reed, 1997). The physical interaction between ZNF423 and EBF1 was confirmed by co-immunoprecipitation (Fig. 5 A). To study its functional impact in a hematopoietic context, we first assessed the responsiveness of the CD79b promoter to either EBF-1 and/or ZNF423 by co-transfection in 293T cells. The basal CD79b promoter activity was significantly enhanced by wild-type EBF-1 and repressed by ZNF423α. The EBF-1–mediated increase of reporter activity was abrogated by ZNF423α co-transfection resulting in a reporter activity repressed in a concentration-dependent manner below baseline (Fig. 5, B and C). In line with previous studies, CD79b promoter activity was not affected by co-transfection of wild-type PAX5, and thus was excluded as a co-regulatory factor of CD79b (unpublished data; Nutt et al., 1998). As a next step, we performed an EBF-1 interaction domain mapping of ZNF423 by constructing various zinc finger deletion mutants to pinpoint the EBF-1–binding sites (Fig. 5 D). The last three zinc fingers have been identified as the heterodimerization domain for EBF-1 in rat Zfp423 (Tsai and Reed, 1998). Zinc fingers 2–8 represent the DNA-binding domain for ZNF423–EBF1 heterodimers, zinc fingers 9–13 form the DNA binding domain for ZNF423-SMAD complexes, and zinc fingers 14–19 bind the phosphorylated SMAD1–SMAD4 complex (Hata et al., 2000). Surprisingly, the generation of a C-terminal deletion mutant (ZNF423Δ28–30) lacking the predicted EBF-1 interaction domain did not revert the repression of the CD79b promoter (Fig. 5 B). To consequently search for additional EBF-1 interaction domains, we created a variety of stably expressed ZNF423 deletion mutants, omitting individual protein domains (Fig. 5, D and F). All mutants devoid of the C–terminal zinc fingers 20–30 lost the repression effect on the CD79b promoter, indicating that the EBF-1–binding domain of human ZNF423 is not restricted to zinc fingers 28–30 but extends to a region between zinc fingers 20–27 (Fig. 5 E). Other mutants with central or N-terminal deletions but an intact C terminus exhibited a pronounced repressive effect on CD79b promoter activity. Intriguingly, the ZNF423Δ isoform with the NID exerted a stronger repressive effect on the CD79b promoter than the ZNF423α form.

To assess the functional relevance of EBF-1 sequestration by ZNF423 independent of NuRD recruitment in hematopoietic cells, CD34+ stem or progenitor cells isolated from human cord blood were retrovirotransduced using a ZNF423α-expressing vector (MYs-ZNF423α–venus) versus empty control vector (MYs–venus–control). 5 and 8 d after transduction, EBF-1 and its target genes CD79a, CD79b, and IGLL1 were analyzed in vitro at the transcriptional level by qPCR. Both CD79a and CD79b transcripts were significantly reduced upon enforced expression of ZNF423α (Fig. 5 G). Under the chosen experimental conditions, IGLL1 did not show a ZNF423α-dependent transcriptional repression in vitro.

ZNF423 perturbs the in vivo differentiation process of the B cell lineage

After demonstrating that ZNF423 inhibits the transcriptional activation of lymphopoietic EBF-1 target genes in cell-based studies, we proceeded to assess the impact of aberrant ZNF423α expression in vivo in a xenograft HSC transplantation (HSCT) model (Fig. 6 A). Using two different retroviral particles carrying a venus cassette (MYs–venus–control and MYs–ZNF423α–venus), we transduced CD34+ cord blood cells and transplanted ≥106 cells into immunodeficient NOD.Cg-Prkdcscid Il2rgtm1Svy/Sge (NSG) mice intratibially without further conditioning (Shultz et al., 2005). 6 wk after transplantation, animals from the control cohorts (n = 18) and ZNF423-positive cohorts (n = 17) were sacrificed and examined for human hematopoietic engraftment. BM and spleen cells were isolated and sorted by
Figure 5. The interaction between ZNF423 and EBF-1 inhibits EBF-1 target gene expression in hematopoietic cells. (A) Co-immunoprecipitation of ZNF423 and EBF-1. Immunoblotting of input and eluate from Flag-IP. Detection of the transfected proteins by indicated antibodies. Binding was shown in three independent experiments. (B) Transactivation of the CD79b promoter upon EBF-1 and ZNF423 wild-type versus C-terminal deletion mutant ZNF423Δ28-30. Luciferase assay was performed in 293T cells. ZNF423 plasmid was co-transfected at the indicated concentrations. Firefly luciferase activity was normalized to Renilla luciferase activity. Error bars represent SD of three technical replicates. Significance is calculated by Student's t test, comparing indicated samples (***, P ≤ 0.001). Data were reproduced in three independent experiments. (C) ZNF423 concentration-dependent transactivation of the CD79b promoter. Luciferase assay was performed as in B. Significance of technical replicates is calculated by Student's t test, comparing to CD79b promoter baseline (***, P ≤ 0.001). Data were reproduced in three independent experiments. (D) ZNF423 domain mapping using deletion mutants. Each box represents a C2H2 zinc finger motif. DBD, (light gray box) DNA binding; PBD (black box), protein binding; dark gray box, unknown function; black lines, deleted regions. Scheme is not to scale. (E) CD79b promoter activity in dependence of EBF-1 and co-transfected ZNF423 isoforms and
FACS based on reporter fluorescence. The relative quantification of EBF-1–dependent target gene transcripts revealed that the ZNF423 expression in engrafted hematopoietic cells in vivo led to a significant reduction of CD79α, CD79β, and IGLL1 transcripts compared with empty vector control (Fig. 6 B). The comparable expression of the B cell marker CD19 in ZNF423 expressing versus nonexpressing cells indicated that ZNF423 activity perturbed lymphopoiesis after lineage commitment in our xenotransplantation model.

The stage of human B lymphopoietic differentiation can be roughly assessed by measurement of the surface markers CD34, CD19, and IgM (B cell receptor; BCR), which were used to determine the immunological phenotypes of ZNF423α-positive versus control cells after engraftment in NSG mice (Fig. 6 C). A lower frequency of cells expressing mature BCRs was observed within the ZNF423α-transduced cell population with an increased number of events for CD34 positivity, indicating a greater proportion of immature progenitor cells. Taken all cohorts together, the number of engrafted cells carrying a mature BCR was significantly reduced upon expression of ZNF423 (Fig. 6 D). In contrast, myelopoiesis as evaluated by CD33 staining in our experimental model was not affected by enforced expression of ZNF423α in CD34+ stem and progenitor cells.

**Expression of ZNF423 is a prognostic factor for outcome of B precursor ALL**

Given the impact of ZNF423 on B cell maturation, we investigated whether ZNF423 and its upstream regulatory pathway were associated with the event-free survival of ALL patients. Statistical analyses were performed in B precursor ALL patients (n = 190) enrolled in CoALL 97 and 03 trials, who underwent a uniform treatment stratified according to clinical, immunological and genetic risk features (Escherich et al., 2010). Since ZNF423 showed a strong association with the ETV6-RUNXI fusion gene (Table 2), which is a dominating marker of favorable outcome, we performed Kaplan–Meier analyses on ETV6-RUNXI-negative ALL patients (n = 118). Poor prognosis BCR-ABL-positive ALLs and infant leukemias were excluded from the outcome analyses, since they received a different treatment (Fig. 7). Intriguingly, six of seven BCR-ABL-positive ALLs revealed an increased expression of ZNF423 in contrast to only one in five MLL-rearranged cases (Table S1).

We defined the median of ZNF423 and SMAD1 expression values as the cutoff for classification of the patients into two groups for event-free survival analyses. This threshold value is two times greater than the median ZNF423 expression value in the ZNF423 low-expressing group. Overall, ZNF423 low– versus high-expressing ETV6-RUNXI negative ALL differ on average by a factor of four. A high level of ZNF423 expression was associated with an adverse outcome, whereas a low level of ZNF423 expression was associated with favorable outcome (Fig. 7 A; P = 0.015). These findings were in line with an association of high ZNF423 transcript levels with elevated levels of minimal residual disease measured at the end of induction treatment (d28) by qPCR (P < 0.01; Fisher’s exact test; Table S1). In analogy to ZNF423, high expression of BMP2-dependent SMAD1 was predictive of poor outcome, and low expression of SMAD1 was predictive of good outcome in the same cohort of patients (Fig. 7 B; P = 0.047). As anticipated, the expression of ZNF423 did not significantly affect outcome prediction of ETV6-RUNXI fusion positive B precursor ALL in a separate subgroup analysis (data not shown).

Based on the median expression values of ZNF423 and SMAD1, multivariate analyses of the CoALL 97 and 03 cohorts were also performed including genetic and clinically important parameters. Multivariate testing demonstrated that ZNF423 (and also SMAD1) expression predicted ALL outcome independently of clinical and genetic risk features such as patient age, white blood cell count and ETV6-RUNXI rearrangement (Fig. 7, A and B).

**DISCUSSION**

Based on comparative intraindividual transcriptome analyses, we identified the abnormal up-regulation of the transcriptional modulator ZNF423 in B precursor ALL. We found that epigenetic deregulation at the genomic ZNF423 locus leads to a permissive transcriptional state of ZNF423, which is activated by BMP2 signaling in ALL. Aberrant ZNF423 inhibits the transactivation of EBF-1 target genes, perturbs B cell differentiation in vitro and in vivo, and is linked to an adverse outcome of ETV6-RUNXI–negative ALL patients on CoALL treatment protocols.

The rat homologue Zfp423 has previously been implicated as a transcriptional partner of Olf-1/EBF-1, but formal proof of its function in lymphopoiesis has been missing (Tsai and Reed, 1997; Warming et al., 2004; Cheng and Reed, 2007). Presumptive evidence arose from studies that identified Zfp423 as a target for retroviral integration in murine pre-B cell lymphomas and ZNF423 expression in BCR-ABL–positive chronic myeloid leukemias (Warming et al., 2004; Miyazaki et al., 2009). Using a genomic PCR strategy, we excluded genomic disruption of ZNF423 upstream regulatory sequences in primary
human ALL as observed in mouse models of B cell malignancies (unpublished data). Instead, we identified an epigenetic deregulation and BMP2-dependent transactivation of \textit{ZNF423} as novel synergistic mechanisms in ALL. In a reciprocal approach, hematopoietic CD34\(^+\) stem or progenitor cells that do not express \textit{ZNF423} could be made to activate

Figure 6. \textit{Forced expression of ZNF423 leads to a maturation arrest in the B cell lineage in vivo.} (A) Structure of MYs retrovirus driven by long terminal repeat (LTR) sequence, venus fluorescence reporter controlled by internal ribosomal entry site (IRES). Scheme of in vivo experiments. CB CD34\(^+\), cord blood CD34\(^+\) stem/progenitor cells; IT, intratibial transplantation. (B) Down-regulation of EBF-1 target genes under forced ZNF423 expression in vivo. Venus positive cells from BM were FACS sorted, and mRNA was quantified by qPCR \((2^{-\Delta\Delta Ct} \times 1000)\) for \(n = 17\) control and \(n = 15\) ZNF423 positive mice from \(n = 3\) independent experiments. Values were normalized to \(B2M\). Horizontal lines indicate mean. Error bars represent SD. Significance is calculated by Student's \(t\) test (**, \(P \leq 0.01\); ***, \(P \leq 0.001\); n.s., not significant). (C) Contour plots of FACS-based immunophenotyping of venus\(^+\) BM cells from two representative animals with similar engraftment. Percentage represents positive cells in the venus\(^+\) population (Q2). (D) ZNF423\(^+\) cells show a significant decrease in surface IgM expression. FACS-based immunophenotyping of venus\(^+\) cells in the BM of control \((n = 18)\) and ZNF423 \((n = 17)\) mice from \(n = 3\) independent experiments. Values represent the percentage of CD34\(^+\), CD33\(^+\), CD19\(^+\), or IgM\(^+\) cells in the venus\(^+\) population. Horizontal lines indicate mean. Error bars represent SD. Significance is calculated by Student's \(t\) test (***, \(P \leq 0.001\); n.s., not significant).
ZNF423 by treatment with the demethylating agent 5′-aza-2′-deoxycytidine.

ZNF423 appears to operate as a transcriptional modulator in independent BMP- and EBF-1–mediated reaction pathways (Hata et al., 2000). It has recently been implicated in retinoic acid–dependent differentiation of neuroblastoma by its association with the RARα/RXRα nuclear receptor complex (Huang et al., 2009). In ALL, the net outcome of ZNF423-modulated transcriptional activity likely depends on the relationship between varying levels of EBF-1 monomers and phosphorylated SMAD1–SMAD4 complexes, which might encounter a steric hindrance upon coincidental binding at their defined zinc finger–binding sites in ZNF423 or compete for common yet undefined ZNF423–binding sites.

Epigenetic deregulation and aberrant expression of ZNF423 also occur in the presence of additional mutations in B cell differentiation factors and may aggravate the differentiation block and affect clinical prognosis in the context of not only EBF-1 but also PAX5 alterations. In line with this notion, a high cumulative number of genetic alterations targeting B cell lymphopoiesis have been reported to be associated with poor outcome. In particular, alterations of IKZF1 have been linked to relapsing disease (Mullighan et al., 2009). Unexpectedly, aberrant ZNF423 activity and high SMAD1 expression were linked to an adverse outcome of ALL patients. In line with these findings ZNF423 has been linked to DNA damage response pathways, suggesting an association with chemotherapy resistance, which could account for the prognostic impact under current treatment protocols (Chaki et al., 2012). In contrast, ZNF423 and SMAD1 did not have prognostic impact in ETV6-RUNX1–rearranged ALL. ETV6-RUNX1 apparently supersedes the negative prognostic impact of ZNF423 (and SMAD1) potentially by antagonizing wild-type RUNX1, incurring an inherent susceptibility to apoptosis upon cytotoxic chemotherapy (Niebuhr et al., 2013).

Although the exact stoichiometric and functional relationship between ZNF423 and EBF-1 during B cell leukaemogenesis is undefined, the sequestration of EBF-1 by aberrant ZNF423 could constitute a decisive momentum in a preleukemic clone of hematopoietic stem or progenitor cells, which contributes to the initiation of disease by its interference with rising but still low levels of EBF-1 upon lineage commitment. BMP2 signaling is likely to extend the role of ZNF423 in transcriptional regulation via SMAD1-SMAD4 NuRD heterocomplex formation, whose molecular targets in ALL are unknown. The occurrence of the novel ZNF423 isoform in human ALL is analogous to murine Zfp423 that contains a NID with the Friend of GATA (FOG) repression motif, also found in many other transcriptional repressors (Gronemeyer and Zelent, 2009; Lin et al., 2004). It remains to be determined how ZNF423 integrates concomitant or sequential interactions with EBF-1 and phosphorylated SMAD1–SMAD4 complexes in ALL.

BMP2-dependent transactivation of ZNF423 and the formation of a ternary SMAD1–SMAD4–ZNF423 complex may physiologically operate in embryonic rather than HSCs.

Figure 7. Expression of ZNF423 and SMAD1 is predictive for event-free survival of ETV6-RUNX1-negative ALL patients. (A) Kaplan-Meier analysis of the ETV6-RUNX1-negative CoALL 97 and 03 patient cohorts indicating an adverse outcome of patients with ZNF423 high expressing ALL using the median of ZNF423 as the cutoff value. The analysis was performed in n = 118 patients with ETV6-RUNX1-negative B precursor ALL. The multivariate analysis of the overall cohort including clinically relevant parameters such as ETV6-RUNX1 rearrangement and classical high-risk features such as age and leukocyte count is presented underneath with hazard ratio and 95% confidence interval (CI). EFS, event-free survival; SE, standard error. (B) Kaplan-Meier analysis in the same cohort as in A in dependence of SMAD1 expression revealing an adverse prognosis in ALL patients with high SMAD1 expression using the SMAD1 median as the cutoff value. In analogy to (A) a separate multivariate analysis identifies SMAD1 expression as an independent risk factor in ALL.
as indicated here by data on HES2 and H1 cells. In olfactory neurogenesis, Zip423 has been demonstrated to operate as a lineage switch factor that arrests olfactory receptor neurons at an immature stage, with perturbed olfactory receptor gene selection and expression (Cheng and Reed, 2007). A similar role for ZNF423 in normal B lymphoid development seems unlikely, as ZNF423 was detectable in neither fetal HSCs nor in postnatal lymphopoietic cells, although a minor fraction of cells at an intermediary developmental stage with expression of ZNF423 could have been missed or, alternatively, the sorting strategy applied in this study did not comprise the full complement of intermediary stages of differentiation. However, expression of ZNF423 and subsequent inhibition of EBF-1 likely reflect abnormal molecular events that are not compatible with regular lymphopoiesis.

Collectively, our data show a causal role for ZNF423 in ALL by its interference with B cell differentiation and potentially by transcriptional modulation of SMAD1–SMAD4–dependent target genes. ZNF423 is associated with an adverse outcome of patients with ALL. Its predictive value will have to be tested in prospective trials in childhood ALL.

MATERIALS AND METHODS

Patient samples. All clinical samples were obtained with written informed consent of the patients’ parents or legal guardians with approval by Institutional Ethics Board. Patients were recruited by the COALL multicenter trial group (Germany) and enrolled in trials COALL 97 and 03. For patients’ characteristics and clinical data refer to Table S1.

Mice. NOD.Cg-Pkdcscid Il2rg−/SzJ mice (NSG) mice were obtained from Charles River and were kept at the University Medical Center Hamburg-Eppendorf animal facility according to institutional animal care guidelines. Xenotransplantations of human CD34+ cells were performed by intratracheal injection into unconditioned 6–8- wk-old mice according to animal care regulations after approval by legal authorities (Commission on Animal Experiments, City of Hamburg, reference 55/08). Intratracheal injections were performed under anesthesia (ketamine/rampen, Albrecht/Braun) at a dose of 200 ng per kg body weight. For pain relief, mice were treated with caprofen (Pfizer) at a dose of 6 mg per kg body weight for 3 d. Animals were kept under antibiotic prophylaxis (ketamine/rampen, Albrecht/Braun) at a dose of 200 mg per kg body weight. For pain relief, mice were treated with caprofen (Pfizer) at a dose of 6 mg per kg body weight for 3 d. Animals were kept under antibiotic prophylaxis (Baytril; Bayer). 6–12 wk after transplantation, mice were sacrificed. BM cells were isolated by flushing the femur and tibia with PBS. Spleen cell suspensions were prepared by tamping the spleen through a nylon mesh. MNCs of both tissues were isolated by density gradient centrifugation (Biocoll separation solution; Biochrom). Primary CD19+ cells were isolated from buffy coats using CD19 MicroBeads according to manufacturer’s instructions (MACS; Miltenyi Biotec). CD34+ cells were isolated using the human selection kit for EasySep magnet from StemCell Technologies, Inc. according to manufacturer’s instructions. CD34+ cells were cultured in StemSpan SFEM (StemCell Technologies, Inc.) with 2% t-glutamine, 2% penicillin/streptomycin, 100 ng/ml L-Fh3-3-liter, 100 ng/ml lSCE, 20 ng/ml ILTPO, and 20 ng/ml ILHe (cytokines purchased from CellSystems). CD34+CD33−/− cells were isolated by FACS sorting, REH and SEM cells were cultured in RPMI supplemented with 10% FCS and 1% t-glutamine. 293T HEK 293T HEK cells were cultured in DMEM supplemented with 10% FCS, 2% t-glutamine, 1% sodium pyruvate, and 2% FBS and RPMI (Humanzyme) were reconstituted in 4 mM HCl and 0.1% BSA and RPMI (USBiological) in 20 mM acetic acid, and used at a final concentration of 100 ng/ml. Stimulation was performed for indicated times. As controls, cells were treated with solvents in analogous ways. 5-aza-2′-deoxycytidine (Sigma-Aldrich) was dissolved in water and used at a concentration of 3 μM. For transcription analysis, cells were treated for 24, 48, and 72 h, respectively. Additional BMP2 stimulation was done 6 h before lysis. Control experiments were performed using solvents. Transfection of 293T cells was performed using Lipofectamine2000 (Invitrogen) according to the manufacturer’s instructions. Genomic DNA from cells was isolated with QIAGen DNA Blood Mini kit (Roche). RNA isolation was performed after TRIZOL lysis (Invitrogen) according to manufacturer’s instructions.

Flow cytometry. FACS was performed using BD FACS Canto and BD FACS Arta machines. The following antibodies were used: CD38-PE-Cy7 (BD), CD34-FITC/-APC (Beckman Coulter), CD10-APC-Cy7 (Beckman Coulter), CD19-PE-TexasRed/-APC (Beckman Coulter), IgM-APC (BioLegend), CD33-APC (BioLegend). Unibical cord blood CD34+CD33+ cells were isolated by FACS sort. HSC (CD38−/CD34+CD10−/CD19+), common lymphoid progenitor (CLP; CD38−/CD34+CD10+/CD19−), pro-B (CD38−/CD34+CD10+/CD19−), and pre-B (CD38−/CD34+CD10+/CD19−) were purified from BM of healthy patients in complete continuous remission by FACS sort.

Viral transduction. Viral particles were produced using 293T cells after transfection with the ProFection Mammalian Transfection System – Calcium Phosphate (Promega); pRMYs−IVpMDL−gpRRE, and phCMV-RD114env plasmids were used for retrovirus production. Viral supernatants were harvested every 24 h for 3 d. Infections of CD34+ cells were done using RetroNectin from Takara Bio Inc. following the manufacturer’s instructions.

Reportor assays. Promoter studies were performed with the Dual-Luciferase Reporter Assay System from Promega, following the manufacturer’s instructions. PCR primers for promoter cloning are listed in Table S2. The following vectors were used: pCgl3-basie, pGGL3-basie, pCgl3-CMV/EF1, pg1l-control, and pRL-TK (Renilla). Firefly luciferase activity was normalized to Renilla luciferase activity.

PCR and RACE. DNA amplifications for cloning were performed by PCR with Platinum Taq DNA polymerase (Invitrogen). PCR products were purified from E. coli (Humanzyme) was dissolved in water and used at a concentration of 3 μM. For transcription analysis, cells were treated for 24, 48, and 72 h, respectively. Additional BMP2 stimulation was done 6 h before lysis. Control experiments were performed using solvents. Transfection of 293T cells was performed using Lipofectamine2000 (Invitrogen) according to the manufacturer’s instructions. Genomic DNA from cells was isolated with QIAGen DNA Blood Mini kit (Roche). RNA isolation was performed after TRIZOL lysis (Invitrogen) according to manufacturer’s instructions.

Plasmids and cloning strategy. An ~2,000-bp fragment of the CD79b promoter (~2,000 bp to ~1 bp) was amplified by PCR (5′-CCACCGGGATGTTGTTGCTTCCTCCCT-3′ and 5′-CCCTGCTCCCTCCCCGGCTCT-3′). PCR product was cloned into pGGL3-basie vector in E. coli JM109 competent bacteria. For studies of the ZNF423 promoters and the ZNF423-CpG island, the fragments were amplified by PCR and cloned into the CpG-free pGGL3-basie luciferase vector in E. coli PIK-competent bacteria, provided by M. Klug (University Hospital Regensburg, Regensburg, Germany; Klug and Rehli, 2006). The ~2,000-bp fragment of the ZNF423 promoter (~2,000 bp to +29 bp) was amplified using the primers 5′-GATCTTCAGGGCGGACA-GGATCACAG-3′ and rev 5′-CATGGGGGAGGATCCCTGGCAGG- TTTG-3′. The ~260-bp fragment of the CGI was amplified using the primers 5′-ATCTGCAGGGGAAATGCGCTGCTGTG-3′ and rev 5′-GTTGGAGTCTGAACCTCCCGAAGG-3′. The ZNF423 β-family promoter (~2,000 bp to ~40 bp) was amplified by PCR with the primers 5′-CCCGGGGAC- GGCTGGCTGCT-3′ and rev 5′-CCCGCCCTGCTCCCTGGACA-3′. For ZNF423, EBF-1, and PAX5 expression, the following plasmids were used:
Expression data are accessible at the Gene Expression Omnibus under accession no. GSE42221.

Microarray analysis. The expression data of four different human initial leukemias (I1s, I2s, I3s, I4s; sorted cellular material) were independently filtered based on defined cutoff criteria such as present call; signal intensity (SI) ≥20; increase (I) or decrease call (D); change p-value ≤0.003 for I / ≥0.997 for D and signal log ratio (SLR) ≥0.5849 for I / ≤-0.415 for D (equivalent to 1.5x up- or down-regulation), which arose from the comparison with the corresponding remission material (E1s, E2s, E3s, and E4s) as control. All four comparisons were initially scaled to a target signal of 100. Expression data are accessible at the Gene Expression Omnibus under accession no. GSE42056.

High-resolution genomic profiling. Genomic DNA was isolated from initial ALL samples and matched MNC from remission BM using the QIAGEN DNA Blood Mini kit following the manufacturer’s instructions. Sample preparation, hybridization, and scanning were performed according to the manufacturer’s standard protocol for Affymetrix GenomeWide Human SNP 6.0 microarrays. Arrays were scanned on an Affymetrix 3000 7G GeneChip scanner using the Affymetrix Command Console Software. Processing of the raw signals and raw copy-number calculation were performed using the CRMA (version 2) procedure (aroma.affymetrix package version 2.0.0) for R statistical platform (version 2.12.1) according to the aroma:affymetrix vignette for paired total copy-number analysis. CBS algorithm (DNAcopy package version 1.24.0) was then applied to perform segmentation. Expression data are accessible at the Gene Expression Omnibus under accession no. GSE42056.

ZNF423 monoclonal antibody. The rat monoclonal ZNF423 antibody was generated in Lou/c rats. N-terminal epitope amplifications was done by PCR with Platinum Taq DNA polymerase (Invitrogen) and following primers: 5'-GGTCCGAAGCTGCAAGAAC-3' and 5’-CACATATGGTCTG-GACGGTTG-3’. PCR product was cloned into pGEX-2T (GE Healthcare) in E. coli JM109 competent bacteria. Isolation of fusion protein (size: 52 kD) was performed via glutathione Sepharose 4B (GE Healthcare) over PD-10 desalting columns (GE Healthcare). 5 µg of the purified GST-fusion protein were injected i.p. and s.c. into Lou/c rats using incomplete Freund’s adjuvant supplemented with 5 nmol CpG 2006 (TIB MOLBIOL). A few 6–8 wk after injection, a final boost with 50 µg ZNF423-GST and CpG 2006 was given i.p. and s.c. 3 d before fusion. Fusion of the myeloma cell line P3X63-Ag8.653 with the rat immune spleen cells was performed according to standard procedures. Hybridoma supernatants were tested in an ELISA with GST-ZNF423 or an irrelevant GST-fusion protein. Antibodies from tissue culture supernatant bound to ZNF423 were detected with HRP–conjugated mAbs against the rat IgG isotypes (TIB173 IgG2a, TIB174 IgG2b, TIB170 IgG1 all from ATCC, R-2c IgG2c: homemade), thus avoiding mAbs of IgM class. HRP was visualized with ready to use TMB (1-Step Ultra TMB-ELISA; Thermo Fisher Scientific). mAbs that reacted specifically with ZNF423 were further analyzed by Western blot. ZNF423 8H2 of rat IgG2a subclass was used in this study.

Co-immunoprecipitation. Protein G PLUS–Agarose (Santa Cruz Biotechnology, Inc.) was labeled with monoclonal ZNF423 or anti-Flag antibody (Sigma–Aldrich) for 3 h at 4°C. IgG2A (BD) was used as control antibody. For exogenous proteins, cells were transfected as indicated. Cells were lysed in KLB’ lysis buffer (25 mM Tris, 150 mM NaCl, 5 mM EDTA, 10% glycerol, 1% Triton-X, 10 mM sodium orthovanadate, 10 mM sodium pyrophosphate, 1 mM sodium orthovanadate, 10 mM glycero-phosphate, 0.1 M PMSE, 1.5 mg/ml aprotinin, 0.5 M sodium fluoride, and 40 mM sodium perva-date) and incubated with beads overnight. Precipitated proteins were detected by immunoblot.

In vitro DNA methylation. Plasmids were methylated in vitro using M.SssI methyltransferase (New England BioLabs) according to manufacturer’s instructions. DNA methylation was confirmed by restriction with methylation-sensitive restriction enzymes HhaI and MspI (both obtained from Fermentas).

Bisulfite sequencing. Bisulfite sequencing of 166 genomic DNA samples extracted from initial ALL, individually matched MNC from BM in complete remission, embryonic stem cell lines H1, HES2, and normal B progenitor cells from various stages of B cell differentiation were performed by Epigentek (Berlin). Significance was calculated by Student’s t test, excluding data from unsorted MNC and embryonic stem cell lines.

Statistical analysis. Fisher’s exact test and χ²-test were used to analyze frequency tables, the Mann–Whitney U test was used to compare groups of patients and Spearman correlation coefficient was calculated to describe the correlation of parameters. Event-free survival was defined as the time from diagnosis to the date of last follow up in complete remission or first event. Events were resistant to therapy (nonresponse), relapse, secondary neoplasm

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