Using a mentorship model to localise the Practical Approach to Care Kit (PACK): from South Africa to Ethiopia

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ABSTRACT

The Federal Ministry of Health, Ethiopia, recognised the potential of the Practical Approach to Care Kit (PACK) programme to promote integrated, comprehensive and evidence-informed primary care as a means to achieving universal health coverage. Localisation of the PACK guide to become the ‘Ethiopian Primary Health Care Clinical Guidelines’ (PHCG) was spearheaded by a core team of Ethiopian policy and technical experts, mentored by the Knowledge Translation Unit, University of Cape Town. A research collaboration, ASSET (health Systems Strengthening in sub-Saharan Africa), has brought together policy-makers from the Ministry of Health and health systems researchers from Ethiopia (Addis Ababa University) and overseas partners for the PACK localisation process, and will develop and evaluate health systems strengthening interventions needed for a successful scale-up of the Ethiopian PHCG. Localisation of PACK for Ethiopia included expanding the guide to include a wider range of infectious diseases and an expanded age range (from 5 to 15 years). Early feedback from front-line primary healthcare (PHC) workers is positive: the guide gives them greater confidence and is easy to understand and use. A training cascade has been initiated, with a view to implementing in 400 PHC facilities in phase 1, followed by scale-up to all 3724 health centres in Ethiopia during 2019. Monitoring and evaluation of the Ministry of Health implementation at scale will be complemented by in-depth evaluation by ASSET in demonstration districts. Anticipated challenges include availability of essential medications and laboratory investigations and the need for additional training and supervisory support to deliver care for non-communicable diseases and mental health. The strong leadership from the Ministry of Health of Ethiopia combined with a productive collaboration with health systems research partners can help to ensure that Ethiopian PHCG achieves standardisation of clinical practice at the primary care level and quality healthcare for all.

INTRODUCTION

Forty years on from the Alma Ata Declaration, primary healthcare (PHC) is getting renewed attention as the key means through which universal health coverage can be achieved.1 However, the quality of primary care services in many countries of sub-Saharan Africa has been found to be generally poor and highly variable.2 This has led to calls to prioritise interventions to improve the quality of PHC to achieve effective coverage of care.3

Ethiopia is located in the Horn of Africa and is the second most populous country in sub-Saharan Africa, with an estimated population of 105 million, 42% of whom are under the age of 15 years.4 The country is predominantly rural, with only 20.2% of the population living...
in towns. In recent years, Ethiopia has been noted for its flagship Health Extension Programme, comprising 39,878 salaried community-based health extension workers in 2017, which has facilitated expansion of access to PHC. The focus of the Health Extension Programme is on health promotion and disease prevention, with health extension workers playing a key role in bridging the cultural and information divide that underlies low community demand for healthcare (an average of only 0.8 outpatient contacts per person per year). However, in spite of notable successes, for example, in the area of maternal and child health, concerns remain about the quality and scope of facility-based PHC.8 This has prompted a broadening of focus from access to care alone to encompass equity of access and the quality of care available at the PHC level, articulated in the current Health Sector Transformation Plan.8 Ethiopia has a universal health coverage target of 85% of essential services to be provided by the public sector by 2020. To achieve this, various initiatives are under way: implementation of task-sharing models of care for emerging health priorities currently managed at the hospital level (eg, devolving mental health and non-communicable disease care to PHC level), establishing model PHC facilities as exemplars of best practice, and promoting evidence-based PHC interventions by competent, compassionate and respectful staff.8

It is within this context of PHC transformation that the Ethiopian Federal Ministry of Health (FMoH) was introduced to the Practical Approach to Care Kit (PACK) programme9 by counterparts from South Africa and Botswana in 2016. Designed, implemented and tested in a South African context,10 the PACK programme comprises the provision of carefully designed comprehensive and integrated clinical decision support, the PACK guide, using a structured implementation strategy in a PHC setting. Each of over 2300 recommendations in the guide is aligned to WHO guidance and global evidence, sourced and annually updated through the British Medical Journal evidence synthesis product, Best Practice, which is an online clinical decision support tool.12 The potential utility of PACK as a tool for health system strengthening in Ethiopia was recognised immediately. Of particular appeal to the Ethiopian FMoH was that PACK was provider-friendly, enabled a one-stop shop for comprehensive and integrated care, and was evidence-aligned, meaning that gold standard evidence was aligned with WHO guidance as well as to Ethiopian policies and guidelines. The process of adapting the PACK programme to the Ethiopian context (to become the Ethiopian Primary Health Care Clinical Guidelines; Ethiopian PHCG) was then initiated, with a view to scaling up nationally. In this paper we will describe how PACK was localised for Ethiopia so as to align with existing policies and ensure feasibility, highlighting the challenges encountered on the way, key lessons learnt and the opportunities afforded by linking Ethiopian PHCG localisation to a collaborative health systems research programme.

### HEALTH AND HEALTHCARE IN ETHIOPIA

The Ethiopian population is undergoing an epidemiological transition and now faces a triple burden of communicable diseases, reproductive health threats and undernutrition, combined with emerging health concerns in the area of mental health, other non-communicable diseases and injuries.13 Poverty remains a challenge despite 7.5% average annual growth of gross domestic product per capita from 2009 to 201514 (see box 1). The health system is generally orientated to respond to acute episodes of illness, with healthcare providers tending to focus on the presenting health condition.15 This is despite clear evidence of high levels of comorbidity for all chronic conditions, which adversely affect health outcomes and quality of life if not addressed adequately.16 For example, undetected depression in people with tuberculosis in Ethiopia is associated with increased mortality.17 Even in the area of acute care, vertical programming (eg, HIV, tuberculosis, maternal health) has led to a proliferation of stand-alone clinical guidelines which promote fragmented care and form a barrier to comprehensively addressing patient needs. In recognition of these challenges, the current Health Sector Transformation Plan has person-centred care as a guiding principle. The integrated approach embedded within the PACK guide has been shown to result in modest improvements in the quality of care and health outcomes in the South African context18–21 and has similar potential to promote a more holistic and person-centred approach to care in Ethiopia.

The structure of the health system in Ethiopia is illustrated in figure 1. The primary care unit comprises a health centre with satellite health posts, linked by referral pathways to a primary hospital. The health centre and primary hospitals are the level at which the Ethiopian PHCG will be introduced. In rural areas, doctors are
Box 2 Changes to the Practical Approach to Care Kit to form the Ethiopian PHCG

- Rebranding as the Ethiopian Primary Health Care Clinical Guidelines (PHCG).
- Expansion to include common illness presentations of children aged 5 years and above.
- Customising the common symptom presentations to the local context.
- Adjustment of recommendations for medications and laboratory investigations to align with national recommendations for health centres.44
- Expansion of existing content to address primary care priorities, for example, added focus on palliative care, and symptoms of locally salient acute infectious conditions (relapsing fever, typhus, cutaneous leishmaniasis), rheumatic heart disease and malnutrition.
- Addition of photographs depicting local skin conditions.
- Addition of communication skills to address the Federal Ministry of Health transformation agenda of ‘creating compassionate, respectful and caring professionals’.8

rarely found at the health centre level, with nurses, midwives and health officers providing most of the care. Health officers take a 4-year degree to equip them to take on a role intermediate between doctors and nurses; nurses are trained for 3 years and can be either BSc or diploma level. The population coverage of key health workers is given in box 1.

Healthcare planning is decentralised within the federal structure of Ethiopia, with an important role played by the regional health bureaus in setting strategic direction. District (‘woreda’) health offices also carry the responsibility of localising healthcare planning for their own populations. Any new health system intervention needs to have the full buy-in of these planners.

LOCALISATION OF THE PRACTICAL APPROACH TO CARE KIT PROGRAMME TO THE ETHIOPIAN SETTING

Ethiopian ownership of the PACK localisation process was apparent from the outset. However, the FMoH was also keen to benefit from the expertise and experience of the PACK developers, based at the Knowledge Translation Unit (KTU), University of Cape Town Lung Institute, South Africa. When the FMoH made contact with the KTU, they discovered that KTU had been collaborating with Addis Ababa University (AAU), Ethiopia, for several years on the primary care-based Programme for Improving Mental Health Care.18 19 An opportunity to support PACK localisation and implementation within the context of a research collaboration was identified. A research proposal was developed by King’s College London (KCL), in collaboration with AAU, the KTU and the FMoH, as part of an application for a UK National Institute of Health Research Global Health Research Unit: the ASSET project (heAlth Systems StrEngThening in sub-Saharan Africa; www.healthasset.org). Even prior to acquiring funding, the PACK Global delivery lead and PACK Global engagement lead visited the FMoH in February 2017 to gain a better understanding of the health system context and the expectations with respect to localisation of PACK for Ethiopia and its implementation as the Ethiopian PHCG programme. This provided an opportunity for the FMoH team to gain a

Figure 1 Tiers of the Ethiopian health system
<table>
<thead>
<tr>
<th>Strengths</th>
<th>Potential challenges</th>
<th>Potential strategies</th>
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<tbody>
<tr>
<td>Success factor for scale-up (domain): attributes of the intervention being scaled up</td>
<td></td>
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</tr>
<tr>
<td>Simplifies existing clinical decision support.</td>
<td>Guidance differs from previous training.</td>
<td>Integrate within PHC preservice training programmes.</td>
</tr>
<tr>
<td>Success factor for scale-up (domain 2): attributes of implementers</td>
<td>Facilities not resourced to deliver the care outlined in PHCG.</td>
<td>Central Pharmaceuticals Fund and Supply Agency prepared to support implementation. Monitor medication stock-outs closely.</td>
</tr>
<tr>
<td>Working with non-state partners for technical support.</td>
<td>Weak leadership at the district and PHC levels.</td>
<td>Link with existing transformation agenda to strengthen leadership.</td>
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<tr>
<td>Success factor for scale-up (domain 3): chosen delivery strategy</td>
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<tr>
<td>Phased implementation using a cascade model of training.</td>
<td>Lack of familiarity with peer-to-peer learning.</td>
<td>Pioneer programmes of clinical mentorship, for example, building on the successful models used for scale-up of task-shared HIV care and the Health Extension Programme.</td>
</tr>
<tr>
<td>Decentralised through regions and zones.</td>
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<td>Horizontal, integrative approach.</td>
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<tr>
<td>Success factor for scale-up (domain 4): attributes of the adopting community</td>
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<tr>
<td>PHCG is advantageous for the PHC worker and compatible with their core tasks.</td>
<td>Unwillingness of PHC workers to consult the guideline during consultation.</td>
<td>Behavioural change communication strategies will be developed to inform patients why their providers will be consulting guidance. Promotion of an all-learning culture during training. Ultimately move towards using tablet-based versions of the guide.</td>
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<tr>
<td>PHC workers motivated by being able to deliver improved care to patients.</td>
<td>Innovation of facility-based training may not be well-received because of benefits of off-site training.</td>
<td>District (‘woreda’) health office to recognise high-performing facilities. Recognise training as part of continuing professional development.</td>
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<td>Additional training may be needed to enable task-sharing for new areas of healthcare (non-communicable diseases/mental health) to health centre level.</td>
<td></td>
<td>Integrate PHCG into vertical programme inservice training (eg, the WHO Mental Health Gap Action Programme) and preservice training. Expanding the database of training cases.</td>
</tr>
<tr>
<td>Sustainability: declining use and adherence to PHCG over time.</td>
<td></td>
<td>Institutionalisation quality improvement (including clinical audit): ASSET to adapt and test. Link PHCG adherence to performance incentive mechanisms and health insurance requirements. Increase accountability to the community by strengthening the community-based Health Development Army and revitalisation of community clinical fora.</td>
</tr>
<tr>
<td>High turnover of rural health workers.</td>
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<td>Work with the PHCG approach of building capacity to train health workers locally.</td>
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Success factor for scale-up (domain 5): sociopolitical context

Continued
### Strengths

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<th>Potential strategies</th>
<th>Potential challenges</th>
<th>Potential changes</th>
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<tr>
<td>Maintain the broad base for buy-in.</td>
<td>Change of leadership.</td>
<td>Change of leadership.</td>
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<td>Build capacity in operational research.</td>
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<tr>
<td>Engage implementers in setting the research question.</td>
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<td>Communicate research findings in a timely manner.</td>
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<th>ASSET, heAlth Systems StrEngThening in sub-Saharan Africa; PHC, primary healthcare; PHCG, Primary Health Care Clinical Guidelines.</th>
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<td><strong>Table 1</strong> Continued</td>
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Comprehensive understanding of the PACK programme and the toolkit which is available to support full localisation, implementation and scale-up. During the visit, time was also spent scoping and costing the PHCG programme for Ethiopia. Once ASSET funding has been secured, the FMoH embarked on a formal partnership with the KTU, KCL and AAU to assist with completion of the guide localisation and associated training materials and initial training, and to develop and evaluate health systems strengthening interventions to support the Ethiopian PHCG implementation.

The FMoH buy-in to PACK was such that there was a strong impetus to complete localisation within a period of months so that this key PHC transformation tool could be scaled up. A core team of eight Ethiopian technical experts worked full time on the localisation. An intensive mentorship package was provided by the KTU to complement the in-country content expertise, in particular with facilitating the consolidation of existing local guidelines and policies with the evidence-informed recommendations of PACK, and supporting integration of new content. The Ethiopia core team visited the KTU in Cape Town for a 5-day period, during which they worked closely with KTU mentors to become familiar with the PACK approach to, and tools for, content development, localisation and training. The process of localisation of complex and new clinical content was started, including scoping out child health priorities for inclusion. The Ethiopia team observed PACK in action in South African primary care during a facility-based PACK training session. This visit was followed 2 months later by a 2-week intensive localisation workshop attended by the KTU mentors, Ethiopia core team and local experts to obtain consensus on contentious clinical recommendations and develop additional content for priority conditions. Around 30 Ethiopian clinical guidelines were reviewed in relation to the evidence-based guidance in Global PACK (see online supplementary file 1) before the guide was finalised.

Some of the key changes to the PACK guide are summarised in **box 2**. These changes were based on the localisation workshops and consultation process, the FMoH experience of policy priorities and past scale-up programmes and local evidence from implementing integrated mental health in primary care in Ethiopia. Rebranding as the ‘Ethiopian PHCG’ was of fundamental importance to communicate to regional, zonal and district healthcare planners, as well as to PHC professionals, that the guide was an Ethiopian product which was relevant to their needs. Expanding the age range to which the guide catered was considered crucial to address the 5–14 age group, not covered by the widely implemented WHO Integrated Management of Newborn and Child Illness guidelines. Approximately 15% of patient contacts in PHC in Ethiopia are for this age group. Expanding to incorporate a broader range of acute infectious presentations (like a symptom-based approach to fever including relapsing fever and typhus, not included in the generic
CONFIDENCE FOR CARE DELIVERY. However, the health workers was easy to understand and use and gave them greater very positive, with the health workers reporting that it

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Programme, to ensure full integration. Six pages of the

Ethiopian PHCG (four symptom pages and two pages for

MATERNAL AND CHILD HEALTH, and the Health Extension

torates, for example, Disease Control and Prevention,

were also made to engage the relevant FMoH direc-

tionals would have low levels of previous experience,

in the areas of non-communicable diseases and mental health, as well as priority programmes (eg, antenatal care). Ethiopia also opted to include training cases that integrated communication skills that are

antenatal care). Ethiopia also opted to include training

and mental health, as well as priority programmes (eg,

non-communicable diseases against a gold

standard).

PACK global guide template) was required to ensure that the guide could be truly comprehensive for the Ethi- opian setting. Some symptom presentations, for example for depression, were adapted on the basis of previous work in Ethiopia.  

Although the PACK guide has the potential to
demarcate the scope of practice for each of the health professionals working in primary care by colour-coding prescriptions, procedures, referrals and investigations, there was a need for greater flexibility in the Ethiopian PHCG. The human resource mix at a given level of the health system may vary depending on staff availability, which means that there is variability in the preferred professional to carry out a specific task. For example, in rural health facilities, nurses may prescribe medications, although when health officers are available they would be the preferred prescribers. Once the initial localisation of the PACK guide to become the Ethiopian PHCG had taken place, a series of four consultative workshops were conducted with a broader stakeholder group of clinicians (including PHC nurses and health officers, emergency surgical officers, surgeons and other medical specialists), purposefully selected to have different levels of experience. As a cross-cutting healthcare programme, substantial efforts were also made to engage the relevant FMoH direc-
torates, for example, Disease Control and Prevention, Maternal and Child Health, and the Health Extension Programme, to ensure full integration. Six pages of the Ethiopian PHCG (four symptom pages and two pages for chronic conditions) were pretested by nine PHC profes-
sionals of different professional backgrounds (health officers and nurses) working in three health centres in the capital city, Addis Ababa. The main feedback was very positive, with the health workers reporting that it was easy to understand and use and gave them greater confidence for care delivery. However, the health workers highlighted the challenge of availability of essential medications and laboratory investigations recommended in the guide, as well as the challenge of delivering care for conditions with which they had little familiarity, in partic-

ular, mental healthcare. Some health workers found the font to be too small and others expressed concern about what patients would think if a clinician checked a guideline in the middle of a consultation. The content of the symptom pages was reduced to allow an increase in the font size. The strategies to tackle the larger health system challenges to implementation are discussed in Table 1.
The PACK training materials were also localised for the Ethiopian setting. Training cases were selected to empha-
sise areas where it was anticipated that the PHC profes-
sionals would have low levels of previous experience, for example, in the areas of non-communicable diseases and mental health, as well as priority programmes (eg, antenatal care). Ethiopia also opted to include training cases that integrated communication skills that are being implemented in South Africa24: ‘PRY’ (Prepare, Relationship-building and find out why the patient has come to consult) and ‘ICE’ (Ideas, Concerns and Expectations). This emphasis on clinical communication skills was in support of the Health Sector Transformation Plan agenda to promote compassionate, respectful clinicians.

 IMPLEMENTATION AND TRAINING FOR THE FIRST PHASE

The scale-up of the Ethiopian PHCG is endorsed by the FMoH as a core programme activity, with committed funding at the national and local governmental level. A

cascade model of training will be followed, with three levels of trainer: national master trainers, regional/zonal/district master trainers and facility trainers. Training of national master trainers was undertaken in Addis Ababa in January 2018, and enjoyed high-level support with the State Minister of Health actively participating in sessions

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<th>Table 2 Examples of approaches to monitoring and evaluation from the Federal Ministry of Health and the ASSET research programme</th>
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<td><strong>Domains of interest</strong></td>
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<td>Patient outcomes</td>
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that model the onsite interactive training programme.\textsuperscript{25} The first phase of Ethiopian PHCG implementation will involve sequential roll-out to 400 health centres across all regions and city administrations in Ethiopia. Although it is ambitious to start implementation across a large number of facilities and settings, this is necessary to get political buy-in nationally and regionally and to stimulate momentum. The FMoH has been working closely with regional health bureaus to select facilities from high-performing districts based on the ‘woreda’ transformation criteria, to include a combination of facilities with high and low levels of patient flow. Facility readiness assessments have been undertaken in order to ensure that facilities have the requisite medication, equipment and human resources to deliver Ethiopia PHCG. In itself this process has already identified gaps in facility readiness, for example, availability of medications for mental health and other non-communicable diseases, which will need to be addressed as the programme proceeds.

A total of 94 zonal level master trainers have now been trained, with national master trainers mentored by the KTU staff. The FMoH has allocated budget, which has been transferred to the regional health bureaus so that they can direct the programme and will take responsibility for coordinating with the zonal and district health offices to support the next step: training of facility trainers. Facility trainers will receive 3.5 days of training to orientate them to PHCG and prepare them to lead facility-based training. In this way, the training of trainers will follow the usual scale-up structure. Facility trainers will then lead the weekly health centre-based training sessions, which will extend over a period of about 12 weeks. They will be supported by the zonal/district master trainers on a monthly basis. A dedicated team based at the FMoH and coordinating with the regional health bureaus will provide ongoing technical support, help with troubleshooting, and oversee monitoring and evaluation in order to ensure successful scale-up.

**MONITORING AND EVALUATION**

One of the distinguishing features of the scale-up of Ethiopian PHCG is the close working between policy-makers/planners (FMoH) and health systems researchers. This is new territory for both sides of the collaboration in Ethiopia but has great potential to contribute to successful scale-up. Monitoring and evaluation activities for Ethiopian PHCG will occur at two levels: (1) more extensive, but less in-depth, monitoring and evaluation of the FMoH first-phase implementation, incorporating 400 health care facilities across diverse regions; and (2) smaller scale, but more in-depth evaluation of implementation through the ASSET project. ASSET will operate in three districts and across 18 PHC health centres and will function as a ‘demonstration site’ for Ethiopian PHCG. After a diagnostic phase to identify health system bottlenecks post-implementation of Ethiopian PHCG, ASSET will test health systems strengthening interventions to optimise the impact of the PHCG. These will include the introduction of quality improvement activities to support learning health systems,\textsuperscript{26} non-technical health worker skills to promote holistic care and mHealth innovations to support chronic care. In addition to measuring health system performance, ASSET will also evaluate impact at the level of patient outcomes. Implementers of the Ethiopian PHCG will provide input into implementation challenges and ASSET will seek to communicate learning from the demonstration sites in a timely fashion, to inform the ongoing scale-up. Examples of the types of complementary data to be generated by both the FMoH and ASSET are described in table 2.

**OPPORTUNITIES, CHALLENGES AND STRATEGIES**

The strong governmental commitment to primary care and population health within the Ethiopian health system combined with the widespread engagement of communities in health and healthcare provides an exciting opportunity for a rapid and successful scale-up of the Ethiopian PHCG. Some of the potential challenges to the scale-up of Ethiopian PHCG have been anticipated, based on the localisation process, experience of PACK scale-up in similar contexts and scale-up of other interventions within the Ethiopian context. These are summarised in table 1, in relation to the success factors identified in a framework for scaling up global health interventions,\textsuperscript{27} with possible strategies that are being considered to overcome them.

**NEXT STEPS**

Phase 1 implementation of the Ethiopian PHCG is planned for 2018, moving to full scale-up across 3724 PHC facilities from 2019. The regional governments will allocate budget for implementation, as well as channeling funding from donors working in the area of PHC. The ASSET project activities will run alongside the scale-up, with a formative phase until early 2019, and then phases of piloting, implementation and evaluation of health systems strengthening interventions during 2019 and 2020. Routine indicators for ongoing monitoring of the operation of Ethiopian PHCG will be developed, informed by learning from the 400 FMoH health centres and the findings from the ASSET demonstration sites. A consortium of partners is being established to support the sustainability of Ethiopian PHCG, with technical support, capacity-building and a process to allow ongoing modification and updating of the guideline. Further funds are being solicited to support the expansion of Ethiopian PHCG to include evidence-informed guidance on intrapartum care. In the future there is ambition to expand the PACK approach to different levels in the health system, including a guide for community-based health workers.

**CONCLUSION**

The localisation of PACK to the Ethiopian PHCG has been distinguished by strong leadership from the FMoH
of Ethiopia and a productive collaboration with health systems research partners. Challenges include wider health system constraints, particularly availability of essential medications and laboratory investigations, and the need for additional training and supervisory support to deliver care for non-communicable diseases and mental health. The scale-up and evaluation of Ethiopia PHCG have the potential to drive the wider health system changes needed to address these challenges through the standardisation of expected clinical practice at the primary care level, and by so doing to promote quality healthcare for all.

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Contributors DT and DG led the initial PACK localisation in Ethiopia, which was subsequently continued by YM. SE, TT, SS, WW and YM were members of the PACK core technical team in Ethiopia. TA and MW are involved in phase 1 implementation of PACK. CH was a PACK content reviewer for mental health. MJP, CH and DT are investigators on ASSET. TE led on engagement between the BMJ, KTU and the Ethiopian FMOH. AA, CW, SCP and CJR provided content and training mentorship from the KTU, with oversight by RVC and LF. TE provided overall support on engagement and project management. LF, CH, YM, DT and MJP conceptualised the paper. YM and CH wrote the first draft. All authors contributed intellectual content, edited the manuscript and approved the final version for submission.

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Competing interests The authors have read and understood the BMJ policy on declaration of interests and declare that AA, CJR, RVC, LF, SCP, CW and LA are employees of the KTU. TE is a contractor for both KTU and BMJ, London, UK. Since August 2015 KTU and BMJ have been engaged in a non-profit strategic partnership to provide continuous evidence updates for PACK, expand PACK-related supported services to countries and organisations as requested, and where appropriate license PACK content. KTU and BMJ confound core positions, including a PACK Global Development Director (TD), and receive no profits from the partnership. TD is an employee of the South African Medical Research Council. PACK receives no funding from the pharmaceutical industry. This paper forms part of a collection on PACK sponsored by the BMJ to profile the contribution of PACK across several countries towards the realisation of comprehensive primary healthcare as envisaged in the Declaration of Alma Ata, during its 40th anniversary.

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