Evaluation of the efficacy and safety of a Chinese herbal formula (RCM-106) for atopic dermatitis: study protocol for a randomised, double-blind, placebo-controlled trial in children

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ABSTRACT

Introduction: Atopic dermatitis is a chronic, inflammatory skin rash that greatly affects quality of life. The current therapies are inadequate in managing atopic dermatitis and often have associated adverse effects or drug tolerance development. Chinese medicine is expected to have promising prospects in the management of atopic dermatitis and recent studies have shown encouraging results. This study aims to evaluate the efficacy and safety of a newly formulated Chinese herbal formula, RMIT Chinese Medicine-106 (RCM-106), in the management of moderate-to-severe atopic dermatitis in children aged 6–18 years.

Methods: The study is a randomised, double-blind, placebo-controlled, parallel-armed clinical trial. Participant, investigator and assessors will remain blinded to the treatment assignment until after the study has been completed. After a 2-week run-in period, 90 participants will be randomised, using block randomised sequences generated by computer, to receive either RCM-106 or matching placebo capsules, twice daily, for a treatment period of 8 weeks and followed up for 4 weeks. Primary outcome measures include the evaluation of disease severity and extent following two validated scoring instruments—Scoring Atopic Dermatitis (SCORAD) and Patient-Oriented Scoring Atopic Dermatitis (PO-SCORAD). Secondary outcome measures include the evaluation of quality of life using the Children’s Dermatology Life Quality Index (CDLQI); occurrence of adverse events and total usage of other therapies as recorded in the participants’ daily diary and laboratory studies which include eosinophil count, total IgE, full blood count and liver and kidney function tests. Intention-to-treat analysis will be applied to all data analyses.

Ethics and dissemination: This trial has received human ethics approval from the Human Research Ethics Committee (HREC) of RMIT University (Project number 15/12). The study findings will be published in peer-reviewed journals and presented at the national and international conferences.

Trials registration: Australia and New Zealand Clinical Trials Register (ANZCTR): ACTRN12612001181897. TGA

Strengths and limitations of this study

▪ Atopic dermatitis mainly affects children and this clinical trial was designed to suit the paediatric population. The trial requires verbal consent from the child participants on top of the written consent of their legal guardians. Furthermore, the trial intervention is in the form of a small capsule that would be easy for children to swallow. As an extra safety measure, the trial includes a ‘capsule swallow test’ to ensure that only children who have no trouble swallowing capsules are included in the trial. The trial also offers an optional ‘capsule-swallowing training programme’ to potential participants, who will be able to participate in the study should they become successful in swallowing capsules after the training.

▪ Clinical studies involving Chinese herbal medicine follow the reverse pharmacology method, where clinical studies are conducted prior to pharmacological studies. RMIT Chinese Medicine-106 (RCM-106) was formulated based on the theories, historical and empirical evidence of traditional Chinese medicine. This means that there is a lack of understanding of the pharmacological actions of the formula. Further studies on the formula would be necessary to provide a more complete understanding of the treatment.

▪ Size of the study sample limits the power of observations.

CTN Scheme: Trial number 2012/0713; Protocol number 15/12.

INTRODUCTION

Atopic dermatitis (AD) is a chronic, inflammatory skin rash which affects approximately 15–30% of children and 2–10% of adults.1 The presentation of AD can vary but
common symptoms include severe itching, redness and dryness of the skin, weeping or scarring and lichenification.\(^2\) \(^3\) While rarely fatal, the itch-scratch cycle can lead to disfiguration, sleep disturbances and subsequent lack of self-confidence and low work productivity.\(^4\) \(^5\) Patients and families are further burdened by the economic costs for disease management.\(^6\) \(^7\) The estimated annual expenditure on AD in the UK was £465 million\(^6\); the national direct costs in the USA ranged between US$364 million and US$3.8 billion.\(^7\) In Australia, the yearly personal costs of AD is said to be greater than that of asthma, ranging from $A330 to $A1255.\(^8\)

The main treatment is to recognise and remove triggering factors, maintain skin hydration and reduce itching and inflammation.\(^5\) Medication and other forms of management are targeted at symptomatic relief.\(^5\) The mainstay therapies include topical corticosteroids, topical calcineurin inhibitors and emollient therapy.\(^9\) \(^10\) \(^11\) However, extended use of topical corticosteroids can lead to local and systemic adverse events such as skin atrophy and primarily hypothalamic–pituitary–adrenal axis suppression\(^10\); topical calcineurin inhibitors cause skin irritation and there are possible malignancy risks with their long-term usage.\(^9\) The use of emollients is targeted at skin hydration to relieve pruritus and repair skin barrier function, though evidence is lacking.\(^11\) Patients with AD often respond poorly or become recalcitrant towards these treatments.\(^12\)

Traditional Chinese medicine (TCM) has been used to treat various conditions, including dermatological conditions.\(^13\) TCM treatment is expected to have beneficial prospects as it has its own form of diagnosis to enable targeted treatments. According to Yao,\(^14\) the TCM treatment of AD via syndrome differentiation can regulate the allergy or atopy-prone constitution and has shown promising effects in relieving signs and symptoms, preventing recurrence, maintaining remission and improving quality of life. The recent studies have shown that Chinese herbs have various pharmacological actions, including anti-inflammatory, antibacterial, antifungal and immunosuppressive functions.\(^13\) Several clinical studies have also shown the potential of TCM treatment of AD.\(^15\) \(^16\) \(^17\) However, systematic reviews have deemed the overall studies to be of ‘poor quality’, therefore resulting in insufficient evidence for valid conclusions.\(^15\) \(^18\) \(^19\) Furthermore, there are concerns regarding the safety of Chinese herbal treatment due to reports of serious adverse events such as contact dermatitis, arsenic or mercury poisoning, liver toxicity and other diseases with the use of Chinese herbal medicine.\(^20\) Armstrong and Ernst\(^18\) suggested that more studies should be carried out to establish its efficacy, safety, cost-effectiveness and mechanism of action.

**OBJECTIVE**

In order to address the lack of evidence in the efficacy and safety of TCM treatments of AD, we have conducted systematic reviews on the Chinese herbal medicine treatment of AD.\(^19\) The results of the systematic reviews assisted in the rigorous design of this randomised controlled trial (RCT) and in the formulation of the new herbal formula, RMIT Chinese Medicine-106 (RCM-106), for AD. This trial will evaluate the efficacy and safety of RCM-106 in the management of AD in children in a randomised, double-blind, placebo-controlled trial. Besides the efficacy and safety of RCM-106, this trial will also evaluate the effects of RCM-106 in improving the quality of life of children affected by AD. Comments and advice were sought from a dermatology expert panel in China to assist in the final formulation of RCM-106. To achieve the trial objective, the following research questions are to be answered: (1) Can RCM-106 reduce the severity of AD in terms of Scoring Atopic Dermatitis (SCORAD) and Patient-Oriented Scoring Atopic Dermatitis (PO-SCORAD) index? (2) Can RCM-106 improve the quality of life of patients when evaluated by the Children’s Dermatology Life Quality Index (CDLQI)? (3) Can treatment with RCM-106 reduce the use of other topical remedies for AD? (4) What adverse events does RCM-106 produce in children with moderate-to-severe AD?

**METHODS**

**Design**

This study is a double-blind, randomised, parallel-armed, placebo-controlled, clinical trial comparing RCM-106 and placebo capsules in children with moderate-to-severe AD. The rigorous design and protocol were planned in accordance with the findings of previous systematic reviews,\(^5\) \(^18\) \(^19\) in combination with the appropriate use of TCM in clinical practice. The study complies with the principles of the Declaration of Helsinki and Good Clinical Practice guidelines. Its reporting will be guided by the CONSORT statement\(^21\) \(^22\) and the relevant extensions related to herbal medicine interventions.\(^23\) \(^24\)

The trial will be conducted in RMIT University (City and Bundoora Campuses), Melbourne, Australia. A total of 90 participants will be recruited. After acquiring consent from the participants and their parents/legal guardian, the participants will be enrolled for a trial period of 14 weeks and will be required to attend a total of six visits during the trial. The study consists of a 2-week run-in period, an 8-week treatment period and a 4-week follow-up period. The duration for the run-in, treatment and follow-up was decided based on our previous systematic reviews.\(^19\) The outline of trial procedures are illustrated in figure 1.

Potential participants will undergo preliminary screening for eligibility during visit 1 by investigators, which will include a registered medical practitioner. Eligible participants will then undergo initial assessments for baseline data collection, which include the SCORAD, PO-SCORAD, CDLQI, a Chinese Medicine Questionnaire, measurement of vital signs (temperature, blood pressure and heart rate), full blood count, total IgE, eosinophil count, kidney function test and liver function test. A daily
diary will then be given to record the occurrence of adverse events and use of topical treatments during the 2-week run-in period. After the run-in period, participants will be randomly assigned to either the treatment (RCM-106) group or the control (placebo) group and the treatment period will start. During the fortnightly clinic visits, participants will be given 2 weeks’ worth of RCM-106 or placebo capsules and daily diaries for the fortnight. Vital signs, SCORAD, PO-SCORAD and CDLQI will be assessed as well. During the treatment period, participants will also be required to record their medication intake, including trial interventions and occurrence of adverse events to assist with compliance monitoring and acceptability of intervention. After the treatment period, participants will be given the PO-SCORAD, CDLQI and a prepaid envelope and be asked to complete and return the outcome assessment instruments at the end of the 4-week follow-up period.

Participants
Participants of the trial will be recruited via advertising on the Internet (AD/eczema online communities; association websites; Facebook/Twitter; RMIT website). Advertising in the form of posters or flyers will be made available in RMIT University (Bundoora and City campuses), children’s hospital or paediatric wards of hospitals, medical centres, clinics of dermatologists and Chinese medicine practitioners, primary and secondary schools and community libraries of surrounding suburbs in Melbourne. Advertising via other forms of media (radio, newspaper and television) may be used if necessary. Interested participants or their parents/legal guardian can make enquiries via email or telephone. Participant information and consent forms (for parents/legal guardian) will be sent out to potential participants prior to the telephone interview and scheduling of the first visit.
Inclusion criteria
The trial will include participants of both genders who are aged 6–18 years, diagnosed with AD according to the UK Working Party’s Diagnostic Criteria (UK Diagnostic Criteria)\textsuperscript{25} and of a moderate-to-severe severity (defined as SCORAD\textsuperscript{≥}25).\textsuperscript{26} All participants have to agree to abstain from alcohol during the period of the trial and not be involved in other trials. Written consent will be sought from the parents/legal guardian of the participants, as well as from the participants who are able to read and write fluently. Verbal assent will be sought from all other participants. In addition, all potential participants will be required to undergo a ‘swallow-test’ to prove their ability to swallow size #1 capsules (approximately 19.4 mm in length and 6.91 mm in diameter). The participants who are unable to pass the test can opt to undergo a ‘capsule-swallowing training programme’ and participate in the trial on successful completion of the programme (ie, able to swallow size #1 capsules).

Exclusion criteria
The participants are not eligible to participate in the trial if (1) there is overt bacterial infection or concurrent systemic disease (except asthma and allergic rhinitis); (2) they are pregnant, breastfeeding, intending to get pregnant or are women of childbearing age refusing contraception; (3) they are unable to swallow size #1 capsules and participate in the trial on successful completion of the programme (ie, able to swallow size #1 capsules).

Ethics and trial registration
Any amendments to the study protocol will be submitted to the Human Research Ethics Committee (HREC) for approval. The trial has also been registered with the Australia and New Zealand Clinical Trials Register (ANZCTR; ACTRN12612001181897) and the Clinical Trial Notification (CTN) Scheme with the Therapeutic Goods Administration (TGA; trial number 2012/0713).

Swallow-test
As the trial intervention will be herbal extracts or placebo encapsulated in size #1 capsules, we have introduced a ‘swallow-test’ as a safety precaution. The swallow-test is to ensure that the participants are capable of swallowing capsules of that size and therefore eligible to participate in the study. The swallow-test will require potential participants to swallow an empty, size #1, vegetarian capsule. Water will be supplied during the test and the participants will not be allowed to use other drinks to complete the test. The participants who are unable to swallow the capsule will be given the option of undertaking the ‘capsule-swallowing training programme’ or be excluded from the study.

Capsule-swallowing training programme
Potential participants who suit the inclusion criteria but are unable to swallow a size #1 capsule (either self-reported or after the swallow test) may choose to undergo a ‘capsule-swallowing training programme’, if they are still keen to participate in the study. Studies have shown that various forms of training programmes have been successful in teaching children to swallow pills.\textsuperscript{27–29} Parents of the participants will be given training guidelines (figure 2) and a supply of empty capsules of various sizes for the training. The guidelines for the ‘capsule-swallowing training programme’ were modified from the ‘Teach children how to swallow tablets and capsules’ guidelines by the Royal Children’s Hospital.\textsuperscript{30} Should the participants succeed in swallowing size #1 capsules after the training, they will be eligible to participate in the study.

Randomisation and blinding
Randomisation will be carried out after the run-in period using block randomised sequences generated by computer. Each participant will be assigned an ID code. An independent statistician will be responsible for the stratified randomisation to ensure the balance in gender and disease severity of both groups. According to the SCORAD classification of severity, an SCORAD index of 25–50 is considered moderate AD and an index above 50 is considered severe AD.\textsuperscript{26} Blinding will be carried out using treatment codes and prepacking of placebo and RCM-106 capsules, which are identical in appearance, taste and scent. The codes and labelling will be recorded in a password protected computer programme. Participants, investigators and outcome assessors will remain blinded to the treatment allocation until after the study has been completed.

Sample size
The sample size calculation for this study was based on the effect size calculations from the severity scores of two studies—one study from Taiwan using a similar Chinese herbal formula for AD\textsuperscript{17} and another study comparing prebiotic and symbiotic treatment.\textsuperscript{31} With the effect size estimate of 1.76 calculated from the Taiwan study,\textsuperscript{17} to achieve 95% power with a significance level of 5%, 10 participants per group would be required. On the basis of end SCORAD in Wu et al’s\textsuperscript{31} study, an effect size estimate of 0.64 was calculated. To achieve 85% power with a significance level of 5% with the effect size estimate of 0.64, 45 participants per group would be required. Considering that the former study had some methodological differences to this study and used a much larger treatment dose, while the latter used
a similar methodology but different form of intervention, a sample size of 90 participants, inclusive of 20% dropout compensation, will be applied for this study.

**Trial interventions**

The treatment interventions are RCM-106 herbal extract capsules and matching placebo capsules, which are identical in appearance, taste and odour. The RCM-106 was formulated based on the classic formula, Xiao Feng San. Each capsule will consist of the herbal granule extracts of seven plant herbal substances (Fang Feng 75 mg, Chao Bai Zhu 75 mg, Ku Shen 75 mg, Sheng Di Huang 100 mg, Bai Shao 50 mg, Gan Cao 50 mg and Bai Xian Pi 75 mg), all of which are listed as approved

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**Teach children how to swallow capsules**

Swallowing...

We teach children not to swallow anything until it has been completely chewed and not to put strange objects in their mouths. It is only natural that they think they can't or shouldn't swallow a tablet.

Also, some people have narrow throats, sensitive palates or a very strong gag reflex which initially makes swallowing larger objects uncomfortable.

**The plan**

By starting with smaller empty capsules and slowly increasing to a larger size, children can learn to become comfortable swallowing tablets and capsules whole.

**You will need**

- Empty capsules of various sizes (provided)
- Warm water

**Keep this in mind**

Make this a fun, relaxed project.

Keep sessions short so your child doesn't become tired and stressed.

Be flexible.

Give plenty of praise for all your child's accomplishments along the way. Even little steps are important.

If there is little progress, talk with the medical caregiver; do not discourage the child.

Keep all medicines out of reach of children.

**What to do**

Encourage your child to swallow the smallest empty capsule with warm water. Allow your child to handle them, pull them apart or chew them. Suggest to the child that this may be done more easily if the capsule is moved toward the back of the throat.

Once the child can swallow smaller capsules, ask them to try swallowing without chewing. Repeat the process with a bigger sized capsule (until they are able to swallow size #1 capsules).

Continue until your child feels comfortable with this. Practise each day with these capsules and warm water.

Have your child swallow a vitamin tablet daily to keep in practice (optional).

**Other helpful points**

- When learning to swallow, use warm rather than cold water to relax the throat.

**Acknowledgements**

This guideline is a modification version of the "Teach children how to swallow tablets and capsules: A guide for parents, caregivers and children over 4 years" by Royal Children's Hospital.

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Figure 2  Capsule swallowing training guidelines—modified from the 'Teach children how to swallow tablets and capsules' guidelines by the Royal Children's Hospital (http://www.rch.org.au/pharmacy/clinical_services/Teach_children_how_to_swallow_tablets_and_capsules).

substances for human consumption by the TGA. The dosage of each raw herbal ingredient is within the dose range as recorded in the Pharmacopoeia of the People’s Republic of China. The placebo capsules will consist of herbal starch which contains no active ingredients.

The capsules will be produced by a manufacturer that holds a TGA approved Good Manufacturing Practice (GMP) certificate. The herbal extracts will be of a concentration ratio of 7:1. The capsules will be dispensed as 500 mg, size #1, vegetarian capsules for oral intake and packed in sealed bottles containing 2-week’s dose of capsules. Participants aged 6–11 years will be required to take three capsules while the participants aged 12–18 years will be required to take six capsules, at each dosing period, twice daily, for the treatment period of 8 weeks. The dosage of RCM-106 for children was determined by taking into consideration the age-to-dose guidelines published by the Nanjing College of Traditional Chinese Medicine and the conversion table of Von Harnack. Quality control checks on the packaging and contents of the treatment interventions, including checks for potential contaminants such as heavy metal or steroids, will be undertaken by the manufacturers to ensure their stability and quality.

The placebo capsules will consist of herbal starch, which is starch made from the herbal dregs of the active nants such as heavy metal or steroids, will be undertaken by the manufacturers to ensure their stability and quality. Throughout the treatment period, participants are not permitted to use any systemic treatments, including supplements and complementary medicines. The use of other topical, non-Chinese medicine, such as topical corticosteroids, is not encouraged but is allowed to be used on an ‘as needed’ basis. Participants will be given a daily diary to record their trial medication compliance as well as usage of any other therapies and occurrence of adverse events. Participants will be asked to return their medication bottles to enable the counting of left-over capsules as well as part of participant adherence monitoring.

Chinese medicine diagnosis and syndrome differentiation

Participants will be asked to complete a Chinese Medicine Questionnaire at the time of recruitment and at the end of the treatment period. This questionnaire is developed based on the State Administration of TCM’s Criteria of Diagnosis and Therapeutic Effect of Disease and Syndromes in TCM. The questionnaire is used to assist the TCM diagnosis and syndrome differentiation of AD. While RCM-106 does not target a specific syndrome, this questionnaire will allow comparison of treatment effects of different TCM syndromes. This information is invaluable as it may help bridge the gap between TCM and Western medicine when coupled with pharmacological studies of the formula.

Outcomes measures

The primary outcome measures include the evaluation of disease severity using two validated instruments:

- SCORAD
- PO-SCORAD

Secondary outcome measures include the evaluation of quality of life using the validated CDLQI; occurrence of adverse events and usage of other therapies as recorded in the daily diary and safety components which include full blood count, eosinophil count, total IgE and liver and kidney function tests.

Participants will be required to complete the SCORAD, PO-SCORAD and CDLQI at each visit, six times in total (during initial assessment, weeks 1, 3, 5, 7 and 9). The PO-SCORAD and CDLQI will also need to be completed and returned via post at the end of the follow-up period. Participants will also be required to complete their daily diaries from the start of the run-in period until the end of the treatment period. Blood tests for full blood count, eosinophil count, total IgE and liver and kidney function tests will be conducted at initial assessment and during the final clinic visit (week 9).

All adverse events will be followed up from the date it is brought to the investigator’s attention until the adverse event has been resolved. In the occasion of a severe adverse event, the event will be recorded and immediately reported to the RMIT HREC, followed by a detailed written report. Participants will be identified by their ID codes to maintain confidentiality.

Participants may be withdrawn from the trial by the investigator if a serious adverse event occurs. Participants are also permitted to withdraw at will at any time of the trial. All withdrawn cases who have received the intervention will be contacted 4 weeks following withdrawal, as the follow-up period, to obtain information with regard to their condition using PO-SCORAD and CDLQI.

Statistical analysis

The trial data will be processed and analysed by an independent statistician, who will be blinded to participant allocation, under the supervision of the School of Mathematical and Geospatial Sciences at RMIT University. Intention-to-treat analysis will be applied to include all randomised participants. Data will be summarised as means and SDs and analysed using the Statistical Package for the Social Sciences (SPSS, Windows V.19.0). The statistical procedure to be employed is repeated measures analysis of variance utilising the General Linear Model (GLM). Data from non-repeated measures will be analysed by t tests. Outcome measures with categorical responses will be analysed using χ² and Fisher exact tests. All p values will be two-tailed and at α=0.05. To assist safety monitoring, interim analysis will be conducted.

DISCUSSION

This study protocol was designed to suit the paediatric population. To facilitate blinding and ease of drug
dosing and delivery, we have chosen to administer RCM-106 as small, size #1 capsules. To reduce the risk of choking hazards, the ability to swallow capsules has been included in our participant criteria. On advice from a paediatric allergist from the Royal Children’s Hospital, as a precaution against false reports of the ability to swallow capsules by eager participants or their parents/legal guardian, this protocol includes a ‘swallow-test’ during screening to ensure that the included participants are indeed able to swallow capsules without difficulty. The protocol also offers an optional ‘capsule-swallowing training programme’ for potential participants who are unable to swallow capsules on screening. Anecdotal evidence suggests that children aged 6 years or younger would be able to take solid dosage forms with adequate support and training; furthermore, studies on various methods of pill-swallowing training have been shown to be successful.

The previous systematic reviews on Chinese herbal medicine for AD concluded that the quality of trials were poor, and therefore did not allow for valid conclusions. The systematic reviews highlighted several methodological and reporting aspects to be improved and these have been incorporated in this clinical trial. These include, but are not limited to the use of validated diagnostic criteria and outcome measure instruments; the use of capsules to improve compliance; and dosage determination based on the Pharmacopoeia of the People’s Republic of China. Furthermore, the reporting of the trial will abide by the relevant CONSORT guidelines.

The primary outcome measures include SCORAD and PO-SCORAD which are validated instruments to assess disease severity and extent through a scoring system. A higher score represents a more severe condition. SCORAD is the assessment by a third-party assessor, whereas PO-SCORAD is the assessment by the patient. SCORAD and PO-SCORAD scores corelate well to each other. The SCORAD and PO-SCORAD will assist in the evaluation of the efficacy of RCM-106.

The secondary outcome measures include the evaluation of quality of life using CDLQI, occurrence of adverse events, total amount of other therapies used and laboratory tests. The CDLQI consists of 10 questions to determine the impact of dermatological conditions on the quality of life of affected children. Each question can be given a score of 0–3, with a total score of 30. A higher score represents a lower quality of life or a higher impact on quality of life. Although the CDLQI was intended for children up to the age of 16 years, it has shown to be applicable to children up to the age of 21 years. For this trial, the CDLQI will be used for children up to 18 years. Participants aged 6–11 years will be given the English cartoon version of the instrument, while the participants aged 12–18 years will be given the English text version. The CDLQI is used to evaluate whether RCM-106 is able to improve the quality of life of patients with AD.

The daily diary will include records of trial intervention intake, other treatments used and occurrence of adverse events. The record of trial intervention compliance will assist with the evaluation of the acceptability of the intervention and reflect the efficacy of the intervention; the record of other treatments used will provide an insight on whether RCM-106 is able to reduce the requirement for other remedies, and thus suggests its efficacy; the record of adverse events will assist with the assessment of tolerability of RCM-106.

The laboratory tests that would be assessed include full blood count, eosinophil count, total IgE and kidney function tests. Increased eosinophil count is more highly observed in patients with AD than in healthy individuals. Total IgE is measured as AD is defined as the IgE-related form of dermatitis by the World Allergy Organisation, and elevated serum IgE is seen in up to 85% of AD cases. The full blood count, liver function test and kidney function test are to assist with safety monitoring and assessment of tolerability of RCM-106.

The results of this RCT will provide clinical data on the efficacy and safety of RCM-106 in reducing the severity of AD and improving the quality of life of patients with AD. Positive results from the RCT can lead to a better management of AD to help patients. This RCT will also contribute to the understanding and treatment of AD from Chinese medicine perspectives.

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Acknowledgements

The authors would like to express their gratitude to the dermatology expert panel set up by the Guangdong Provincial Academy of Chinese Medical Sciences as well as Professor Jerry Zhang (RMIT University), who have provided valuable advice with regard to the formulation of RMIT Chinese Medicine–106 (RCM-106). They would also like to thank Professor Marc Cohen (RMIT University) for agreeing to lend his assistance as the registered medical practitioner for participant screening and monitoring during the study; Professor Alan Bensoussan (University of Western Sydney) for his valuable guidance and advice on herbal safety in trials; and paediatric allergist and immunologist from the Royal Children’s Hospital, Dr Dean Tey, who provided input on drug delivery in children. The guidelines for the ‘capsule-swallowing training program’ were modified from the ‘Teach children how to swallow tablets and capsules’ guidelines by the Royal Children’s Hospital.

Contributors HYT, GBL and ALZ designed the study and prepared the ethics application. CCX, CDC and DC reviewed the study design and protocol. CDC is the statistician of the study and provided input on the sample size calculation and data analysis of the study. HYT wrote the protocol manuscript, with critical review and feedback from all other authors. All authors read and approved the final version of the manuscript.

Funding

This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests

HYT received a partial fee waiver scholarship in 2012 and a full fee waiver scholarship in 2013 from the RMIT University for her PhD studies.